

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>G01N 33/543, G03F 7/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/43086</b> <b>(43) International Publication Date:</b> 1 October 1998 (01.10.98)
<b>(21) International Application Number:</b> PCT/US98/05400 <b>(22) International Filing Date:</b> 19 March 1998 (19.03.98)  <b>(30) Priority Data:</b> 08/821,464      21 March 1997 (21.03.97)      US  <b>(71) Applicant:</b> KIMBERLY-CLARK WORLDWIDE, INC. [US/US]; 401 North Lake Street, Neenah, WI 54956 (US).  <b>(72) Inventors:</b> EVERHART, Dennis, S.; 230 Hereford Road, Alpharetta, GA 30202 (US). KAYLOR, Rosann, M.; 7480 Williamsburg Drive, Cumming, GA 30131 (US). JONES, Mark, L.; 823 Lake Avenue, Atlanta, GA 30307 (US).  <b>(74) Agents:</b> JOHNSON, James, Dean; Jones & Askew, 37th floor, 191 Peachtree Street, N.E., Atlanta, GA 30303 (US) et al.		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> GEL SENSORS AND METHODS OF USE THEREOF  <b>(57) Abstract</b>  The present invention comprises an optically diffracting sensing device whose diffraction pattern changes upon exposure to some stimuli. The diffraction pattern may be two or three dimensional, and in one embodiment the change in diffraction patterns is recognizable to the untrained eye. The device comprises one or more gels coated onto patterned, self-assembling monolayers of alkanethiolates, carboxylic acids, hydroxamic acids, and phosphonic acids printed onto a variety of substrates, including glass, silicon, aluminum oxide, and thermoplastic films metallized with gold, or with an alloy such as nickel/gold. The present invention also comprises the method of making this device, and the use of this device.		

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## GEL SENSORS AND METHODS OF USE THEREOF

## TECHNICAL FIELD

The present invention is in the field of biosensors, and more specifically in the field of gel biosensors which rely on optical diffraction as the sensing mechanism.

## BACKGROUND OF THE INVENTION

Microcontact printing is a technique for forming patterns of organic monolayers with  $\mu\text{m}$  and submicron lateral dimensions. It offers experimental simplicity and flexibility in forming certain types of patterns. It relies on the remarkable ability of self-assembled monolayers of long-chain alkanethiolates to form on gold and other metals. These patterns can act as nanometer resists by protecting the supporting metal from corrosion by appropriately formulated etchants, or, can allow for the selective placement of fluids on hydrophilic regions of the pattern. Patterns of self-assembled monolayers having dimensions that can be less than  $1\ \mu\text{m}$  are formed by using the alkanethiol as an "ink", and by printing them on the metal support using an elastomeric "stamp". The stamp is fabricated by molding a silicone elastomer using a master prepared by optical or X-ray microlithography or by other techniques.

Microcontact printing of patterned self-assembled monolayers brings to microfabrication a number of new capabilities. First, microcontact printing makes it possible to form patterns that are distinguished only by their constituent functional groups; this capability permits the control of surface properties such as interfacial free energies with great precision. Second, because microcontact printing relies on molecular self-assembly, it generates a system that is (at least locally) close to a thermodynamic minimum and is intrinsically defect-rejecting and self-healing. Simple procedures, with minimal protection against surface contamination by adsorbed materials or by particles, can lead to surprisingly low levels of defects in the final structures. The procedure can be conducted at atmospheric pressure, in an unprotected laboratory atmosphere. Thus, microcontact printing is especially useful in laboratories that do not have routine access to the equipment normally used in microfabrication, or for which the capital cost of equipment is a serious concern. Third, the patterned self-assembled monolayers can be designed to act as resists with a number of wet-chemical etchants.

Working with liquid etchants suffers from the disadvantages of handling solvents and disposing of wastes, but also enjoys substantial advantages: a high degree of control over contamination of surfaces; reduced damage to the substrate from energetic interactions with atoms or ions; the ability to manipulate complex and sensitive organic functionalities. Because the self-assembled monolayers are only 1 - 3 nm thick, there is little loss in edge definition due to the thickness of the resist; the major determinants of edge resolution seem to be the fidelity of the contact printing and the anisotropy of etching the underlying metal. In the current best cases, features of size 0.2  $\mu\text{m}$  can be fabricated; edge resolution in systems showing this resolution in thickness is less than 50 nm.

Gels are cross-linked networks of polymers swollen with a liquid. Softness, elasticity, and the capacity to store a fluid make gels unique materials, and soft and gentle materials are beginning to replace some of the hard mechanical materials in various industries.

Due to the cross-linking, various properties of individual polymers become visible on a macroscopic scale. The polymer network changes its volume in response to a change in environment: temperature, solvent composition, mechanical strain, electric field, exposure to light, pH, salt concentration, etc.. Advances in Polymer Science, ed. K. Dusek, Vol. 109, p. v (Springer-Verlag New York 1993); S. Saito, pp. 207-232, *Id.*; M. Shibayama and T. Tanaka, pp. 1-62, *Id.*; Y. Osada, *et al.*, pp. 82-87, Scientific American (May 1993); Y. Osada and J. Gong, Prog. Polym. Sci., vol. 18, pp. 187-226 (Great Br. 1993); Irie, M., pp. 49-65 in Advances in Polymer Science, ed. K. Dusek, Vol. 110 (Springer-Verlag New York 1993); E. Kokufuta, pp. 157-77, *Id.*; T. Okano, pp. 179-197, *Id.*, all incorporated by reference.

Hydrophilic gels in aqueous solution have been the most widely studied, but almost any polymer can be cross linked to form a gel which will swell in a sufficiently good quality solvent. The three-dimensional network is stabilized by cross links which may be provided by covalent bonds, physical entanglements, crystallites, charge complexes, hydrogen bonding, van der Waal's or hydrophobic interactions. Gels have many technologically important roles in chemical separations, biomedical devices and absorbent products, to name a few areas. The properties that make gels useful include their sorption capacities, swelling kinetics, permeabilities to dissolved solutes, surface properties (*e.g.*, adhesiveness), mechanical characteristics, and optical properties. The single most important property of a gel is its swelling degree, since most of the properties are directly influenced by this. S.H. Gehrke, p. 85, in Advances in Polymer

Science, ed. K. Dusek, Vol. 110 (Springer-Verlag New York 1993).

5 "Responsive" polymer gels are materials whose properties, most notably their solvent-swollen volumes, change in response to specific environmental stimuli including temperature, pH, electric field, solvent quality, light intensity and wavelength, pressure, ionic strength, ion identity, and specific chemical triggers, like glucose. S. Saito, pp. 207-232; M. Shibayama and T. Tanaka, pp. 1-62. The property which  
10 often changes the most dramatically is the swollen volume. These changes may occur discontinuously at a specific stimulus level (a phase transition), or gradually over a range of stimulus values. All of these changes are reversible with no inherent limit in lifetime.

15 Gels have been employed as chemical sensing surfaces, for example, in conjunction with fiber-optic systems, or elaborate mechanical or electrode systems. These systems are often quite elaborate, and suffer either from lack of flexibility or expense, or both. For example, U.S. Patent No. 5,436,161  
20 to J. Bergstrom, *et al.*, discloses a matrix coating for surface plasmon resonance detection, to be used with a rigid dielectric material, such as a glass plate.

The information-carrying capacity of light provides an elegant method for detecting and displaying information in a  
25 way that is readily interpreted by a human. Sensors that visibly change color in response to a surface antibody-antigen binding reaction are already commercially available. An example of such a device, based on thin film interference, is the group B streptococcal antigen detector made by Biostar™ [G. R. Bogart, *et al.* "Devices and methods for detection of an analyte  
30 based upon light interference. United States Patent No. 5,482,830, (Assignee: Biostar, Inc. Boulder, Colo.)]. Another example of a very simple optical-based sensor is where a Bragg reflector expands in the presence of water to change the  
35 reflected wavelength. The detection and display components

both device are integrated so that an electronic display (with associated power supply and processing circuit) is not needed.

However, that sort of detection device is suitable only for a narrow range of sensor applications. There is a need for a sensor technology platform that can be slightly modified to accommodate a wide range of stimuli and sensing conditions. There is a need, therefore, for a simple sensing system that takes full advantage of the responsive properties of gels, but which is flexible, easy to use, and preferably, disposable.

#### SUMMARY OF THE INVENTION

The present invention comprises an optically diffracting sensing device in which a diffraction pattern changes upon exposure to a predetermined stimuli. The diffraction pattern in the sensing device of the present invention may be two or three dimensional, and, in one embodiment, the change in diffraction patterns is recognizable to the untrained eye. The sensing device of the present invention comprises one or more gels coated onto patterned, self-assembling monolayers of alkanethiolates, carboxylic acids, hydroxamic acids, or phosphonic acids printed onto a variety of substrates, including, but not limited to, glass, silicon, aluminum oxide, and thermoplastic films metallized with gold, or with an alloy such as nickel/gold. The present invention also comprises the method of making this device, and the use of this device. In its desired embodiment, the sensing device of the present invention uses white light without any supporting detection or amplification systems.

Patterned self-assembling monolayers allow for the controlled placement of gel solutions thereon and which can contain a chemically reactive, indicator functionality. The gels suitable for use in the present invention can be produced by a variety of means, including solvent evaporation, radiation, or chemical cross-linking. When exposed to electromagnetic radiation, such as visible light, the sensing devices of the

present invention produce optical diffraction patterns which can change depending on the reaction of the gel with the stimulus of interest. The electromagnetic radiation can be in the visible spectrum, and can be either reflected from the substrate, or transmitted through the substrate. The stimulus to be detected can be any compound that reacts with the gel directly or with an indicator substance contained in the gel. (See, for example, Irie, M., pp. 49-65; Y. Osada and J. Gong) The present invention can be used to measure any stimuli to which a gel will respond, including, but not limited to, mechanical, temperature, electrical, and chemical stimuli.

These and other objects, features and advantages of the present invention will become apparent after a review of the following detailed description of the disclosed embodiments.

#### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 is a schematic of contact printing of self-assembling monolayers, using a nickel/gold coated polymer substrate as an example. A polydimethylsiloxane (PDMS; silicone elastomer 184; Dow Corning Corp., Midland, MI) is polymerized on a silicone master containing a pre-determined pattern. The PDMS is peeled away from the master, and then exposed to a solution containing  $\text{HS}(\text{CH}_2)_{15}\text{CH}_3$ . The alkane-thiol coated stamp is then stamped onto the nickel/gold-coated substrate. Then, the surface of the substrate is exposed to a solution containing a different alkane thiol such as  $\text{HS}(\text{CH}_2)_{11}\text{OH}$ .

Figure 2 is a field emission secondary electron microscope image of 10 micron-diameter circles of hydrophilic self-assembling monolayers formed by printing of 16-mercaptohexadecanoic acid onto MYLAR® metallized with Ni/Au alloy, as described in Example 1.

Figure 3a is an optical photomicrograph at 300x magnification of 10 micron-diameter circles with center to center spacing of 15 microns of hydrophilic self-assembling



monolayers formed by printing of 16-mercaptohexadecanoic acid, as described in Example 1, below, and after exposure to a high surface energy, curable, optical adhesive. The adhesive is cured by ultraviolet light (UV) exposure.

5        Figure 3b is a photograph of the diffraction pattern formed by visible light shown through the self-assembling monolayer pattern described by Figure 3a.

10        Figure 4 is a field emission secondary electron micrograph image of 10 micron-diameter circles formed of self-assembled photocurable polymers on hydrophilic self-assembling monolayers, printed as described in Example 1.

15        Figure 5 is an atomic force microscopy image of evaporated gold on MYLAR®, purchased from Courtaulds Performance Films (Canoga Park, CA). The average roughness of the gold layer is 3-4 nanometers, with maximum roughness of 9 nanometers.

20        Figure 6, in the left hand vertical column shows printed arrays, on in the right-hand column shows the resulting diffraction patterns.

25        Figure 7, as described in Example 14, at the left hand side is an illustration of a printed hologram, and the corresponding smiling face image it produces. The right hand side of Figure 7 are two enlarged views, side plan and top plan, of the circles of self-assembling monolayers which all together make up the printed hologram.

30        Figure 8, shows a graph of a temperature induced volume transition in a gel, where the ordinate gives the temperature in degrees Celsius, and the abscissa gives the ratio of resultant volume to original volume. The right hand side of Figure 8 is a schematization of a volume change of a gel due to factors such as solvent composition, temperature, ions, pH, light, and electric field.

35        Figure 9, on the left hand side, shows a hologram diffraction pattern printed on high resolution printer film, as described in Example 13. The right hand side shows a

responsive gel atop the same diffraction pattern on metallized Mylar®. The intermediate steps are not shown.

Figure 10 is an illustration of a micro-bump array, as described in Example 7, where the bump center to center spacing is 15 microns.

Figure 11 is an illustration of a side view of a typical micro-bump array, as described below and in Example 7, showing that the diffraction angle  $\theta$  and the number of visible diffraction orders are dependent on the incident wavelength  $\lambda$ , and the bump center-to-center spacing  $\Lambda$ .

Figure 12 illustrates a typical far field diffraction pattern, as described below, for micro-bump array with a 15 micron period (left-hand side) and for one with a 3 micron period (right-hand side).

Figure 13, as described below, graphically illustrates the transmitted far field electric field magnitude  $|T(u)|$  as periodic, because the light wave front is periodically perturbed (delayed) as it travels through the higher refractive index micro-bumps, where each order's electric field ( $a_0, a_1, a_2, \dots$ ) is related to the perturbed wave front's Fourier series coefficient.

Figure 14, as described below, illustrates a calculated half-plane, on-axis diffraction pattern irradiance for two different micro-bump arrays with refractive index = 1.5, period  $\Lambda = 15$  micrometers, bump width = 10 micrometers, bump height = 1.5 micrometers (large dots), bump height = 0.9 micrometers (small dots). The abscissa is in degrees, and the ordinate is measured in power % of total transmittal of irradiance.

Figure 15, as described in Example 4, illustrates an experimental setup for quantifying the diffraction spectra from a diffraction array.

Figure 16, as described in Example 4, illustrates a diffraction image from poly-N-isopropylacrylamide micro-

bump sensor array, where the zero-order beam was blocked to eliminate CCD saturation.

5 Figure 17, as described in Example 4, is a graph of the first-order diffraction irradiance as a function of the micro-bump height for a bump refractive index of 1.5, base width of 10 micrometers, and a period of 15 micrometers. The oscillating irradiance curve limits the useful bump height to approximately 0.3 micrometers for this geometry. The abscissa measure bump height in microns, and the ordinate measures irradiance in power % of total transmittal of irradiance.

10 Figure 18, as described in Example 5, illustrates a holographic recording setup, with an object plane, a film plane, a signal beam, and a reference beam, with a smiling face as the holographic image.

15 Figure 19, as described in Example 7, illustrates the twin images arising from the amplitude hologram, the virtual image on the left-hand side of Figure 19, and the real image on the right-hand side, with the viewer positioned at the lower right-hand corner.

20 Figure 20, as described in Example 7, illustrates conjugate beam haze. The in-focus image is the real image, the large dot is the transmitted beam, and the haze is virtual image. The projected image on the left is illuminated through hologram center. Image on right is illuminated slightly off-center to illustrate the symmetric conjugate image placement.

25 Figure 21, as described in Example 9, shows a recognizable object on the left-hand side, and its associated hologram on the right-hand side.

30 Figure 22, as described in Example 9, shows a 3600 dots per inch (dpi) test page printout with 20 test holograms on one page.

Figure 23, as described in Example 13, shows several pattern transfer steps from the printer output on high-resolution printer film (A) to the photoresist master on gold film (B) to the elastomer stamp (C) to the responsive gel on metallized Mylar® (D). The small line in (C) is 30 microns long.

Figure 24, as described in Example 14, illustrates a method of projecting the real image onto a screen, showing the incident light, the film plane, and the image plane.

#### DETAILED DESCRIPTION

The present invention comprises an optically diffracting sensing device in which the diffraction pattern changes upon exposure to predetermined stimuli. The diffraction pattern may be two or three dimensional and, in one embodiment, the change in diffraction patterns is recognizable to the unaided eye. The sensing device of the present invention further comprises one or more gels coated onto patterned, self-assembling monolayers of, for example, alkanethiolates, carboxylic acids, hydroxamic acids, or phosphonic acids printed onto a variety of substrates including, but not limited to, glass, silicon, aluminum oxide, and thermoplastic films metallized with gold, or with an alloy such as nickel/gold. Other substrates that can be used according to the present invention include, but are not limited to, CrOx, CuOx, AgOx, platinum, and lead. The gels used according to the present invention are capable of responding to the presence or change in stimuli. Usually the response is a change in volume, shape, color or a change in refractive index. The stimuli can be a chemical compound or a physical parameter such as environment: temperature, solvent composition, mechanical strain, electric field, exposure to light, pH, salt concentration, solvent quality, light intensity and wavelength, pressure, ionic strength, ion identity, and specific chemical triggers, e.,g,

glucose. The present invention also comprises the method of making the sensing device and the use of this device.

5 Patterned self-assembling monolayers allow for the controlled placement of the gel thereon. The term "patterned self-assembling monolayers thereon" as used herein means the self-assembling monolayers in any pattern on the metallized polymer films including a solid pattern. The optical sensing devices of the present invention produce optical diffraction patterns which differ depending on the reaction of the self-assembling monolayer and the gel with the stimulus of interest. 10 The electromagnetic radiation that is diffracted is preferably in the visible spectrum, and can be either reflected from the substrate or transmitted through the substrate. The stimulus to be measured by the sensing device of the present invention can be any substance or physical parameter that interacts with the gel or with an analyte in the gel. It is contemplated as part of the invention that the gel can contain an analyte that will react with a stimulus thereby causing the gel to change in volume, shape, color or refractive index. Thus, it can be the gel 20 interacting directly with the stimulus or the gel can contain an analyte that reacts with the stimulus.

Microcontact printing is a technique for forming patterns of organic monolayers with micron or submicron lateral dimensions. It offers experimental simplicity and 25 flexibility in forming certain types of patterns. It relies on the remarkable ability of self-assembled monolayers of long-chain alkanethiolates to form on gold and other metals. These patterns can act as nanometer resists by protecting the supporting substrate from corrosion by appropriately formulated etchants, or, can allow for the selective placement of fluids on hydrophilic regions of the pattern. Patterns of 30 self-assembled monolayers having dimensions that can be less than 1  $\mu\text{m}$  are formed by using the alkanethiol as an "ink", and by printing them on the metal support using an elastomeric "stamp". The stamp is fabricated by molding a silicone elas-

35

tober using a master prepared by optical or X-ray microlithography or by other techniques.

Microcontact printing of patterned self-assembled monolayers brings to microfabrication a number of new capabilities. First, microcontact printing makes it possible to form patterns that are distinguished only by their constituent functional groups; this capability permits the control of surface properties such as interfacial free energies with great precision. Second, because micro-contact printing relies on molecular self-assembly, it generates a system that is (at least locally) close to a thermodynamic minimum and is intrinsically defect-rejecting and self-healing. Simple procedures, with minimal protection against surface contamination by adsorbed materials or by particles, can lead to surprisingly low levels of defects in the final structures. The procedure can be conducted at atmospheric pressure, in an unprotected laboratory atmosphere. Thus, microcontact printing is especially useful in laboratories that do not have routine access to the equipment normally used in microfabrication, or for which the capital cost of equipment is a serious concern. Third, the patterned self-assembled monolayers can be designed to act as resists with a number of wet-chemical etchants.

Because the self-assembled monolayers are only 1 - 3 nm thick, there is little loss in edge definition due to the thickness of the resist; the major determinants of edge resolution seem to be the fidelity of the contact printing and the anisotropy of etching the underlying metal. In the current best cases, features of size 0.2  $\mu\text{m}$  can be fabricated; edge resolution in systems showing this resolution in thickness is less than 50 nm.

Gels, as used in the present invention, have both liquid-like and solid-like properties. The liquid-like properties result from the fact that the major constituent of gels is usually a liquid, *e.g.*, water. For example, a jelly consists of

approximately 97% water and 3% gelatin. On the other hand, a gel can retain its shape since it has a shear modulus which becomes apparent when the gel is deformed. The modulus is due to the cross-linking of the polymers in the form of a network. These aspects of a gel represent the solid nature of gels. In addition to these liquid- and solid-like aspects, a gel can change its state drastically, similar to the way a gas changes its volume more than a thousand fold. Two states of gels; the collapsed and swollen states, correspond to the liquid and the gas states of fluids respectively. Figure 8 shows a graph of a temperature induced volume transition in a gel, where the ordinate gives the temperature in degrees Celsius, and the abscissa gives the ratio of resultant volume to original volume. As can be seen by the graph, a sharp volume transition occurs for this gel between 34 and 36 degrees Celsius. The right hand side of Figure 8 is a schematization of a volume change of a gel due to factors such as solvent composition, temperature, ions, pH, light, and electric field.

A gel can be viewed as a container of solvent made of a three dimensional mesh. In a dried state, a gel is a solid material. However, a gel swells until it reaches the swelling equilibrium when a solvent is added. The solvent molecules are kept in the three dimensional mesh and the combination of the mesh and the solvent molecules creates a "world" having characteristic properties. This world can be either isolated from (isochore) or linked to (isobar) its surrounding world by changing the population, *i.e.*, the solvent molecules.

A gel can be a "single polymer molecule". The term "single polymer molecule" means that all the monomer units in a one piece of gel are connected to each other and form one big molecule on a macroscopic scale. Because of this nature, a gel is a macroscopic representation of single polymer behavior.

Many kinds of external stimuli, such as, temperature, pH, photons, ions, electric current (field), etc., can control the

volume of the gel. Particularly, in the case of volume phase transition, an enormous change in volume can be induced by an infinitesimal change of one of the these stimuli, and this is of great importance in the present invention, as an actuator, sensor, switching device and so on. See Figure 8. These volume phase transitions can be induced by van der Waals, hydrophobic, hydrogen bonding, electrostatic, and charge-transfer interactions.

In the present invention, the gel is coupled to the self-assembling monolayer printed as described above. Depending upon the property to be sensed, the gel includes, but is not limited to, a polysaccharide such as agarose, dextran, carageenan, alginic acid, starch, cellulose, deionized gelatin, and derivatives of these such as carboxymethyl derivatives. The gel may also be a water-swellaable organic polymer such as polyvinyl alcohol, polyacrylic acid, polyacrylamide, or polyethylene glycol. Aqueous gels are also known in the art as "hydrogels", and "hydrophilic polymers". They may be copolymers or homopolymers. Suitable copolymers may either be regular copolymers containing substantially no other material in their matrices, or they may be copolymers which contain monomers such as styrene and vinyl acetate, for example. Examples of suitable copolymers which may or may not contain monomers include, but are not limited to, N-vinyl pyrrolidone and glycidyl methacrylate.

Homopolymers include those that are slightly cross-linked, such as hydroxyethyl methacrylate. Suitable copolymers with or without monomers and homopolymers may also be polymerized from the following non-limiting list of monomers: hydroxyalkyl acrylates and hydroxyalkyl methacrylates, for example, hydroxyethyl acrylate, hydroxypropyl acrylate, and hydroxybutyl methacrylate; epoxy acrylates and epoxy methacrylates, such as, glycidyl methacrylate; amino alkyl acrylates and amino alkyl methacrylates; N-vinyl compounds, such as, for example, N-



vinyl pyrrolidone, N-vinyl carbazole, N-vinyl acetamide, and N-vinyl succinimide; amino styrenes; polyvinyl alcohols and polyvinyl amines; polyacrylamides such as N-isopropyl acrylamide and various substituted polyacrylamides; vinyl  
5 pyridine; vinyl sulfonate and polyvinyl sulfate; vinylene carbonate; vinyl acetic acid, and vinyl crotonic acid; allyl amine and allyl alcohol; and vinyl glycidyl ethers.

Polymer gels swollen in nonvolatile organic solvents are known as organogels. A few examples of organogels are  
10 systems based on aluminum stearate, oleate, or naphthenate. These polymers form non-aqueous gels on cooling with hydrocarbons. Electroconductive organogels have also been prepared from 3-alkyl thiophenes using FeCl<sub>3</sub> as a catalyst. These polymer gels exhibit drastic volume changes when the  
15 solvent composition of ethanol-chloroform was changed. The absorption spectrum also changes in association with the volume change and temperature. Another type of electroconductive gel consists of an electrodonating polymeric network and a low molecular weight acceptor subsequently  
20 doped to the gel. Thus, a 7,7,8,8-tetracyanoquinodimethane (TCNQ) was doped as an electron acceptor into a cross linked polymeric donor: poly [N-[3-(dimethylamino)propyl]acrylamide] (PDMA PAA) in dimethylformamide (DMF). When TCNQ was doped, a  
25 significant swelling and coloration due to the formation of a charge-transfer (CT) complex occurred.

The gel can be derivatized to contain hydroxyl, carboxyl, amino, aldehyde, carbonyl, epoxy, or vinyl groups for immobilizing a desired ligand, and optionally, a biospecific  
30 ligand bound via said groups. Many examples of suitable gels may be found in *Hydrogels in Medicine and Pharmacy*, vols. I-III, ed. Peppas, N.A. (CRC Press 1986-7), and *Advances in Polymer Science*, ed. K. Dusek, vols. 109, 110 (Springer-Verlag New York 1993), and references cited therein, all  
35 incorporated herein by reference.

Examples of derivatization of gels may be found in U.S. Patent no. 5,436,161 to J. Bergström, which is incorporated herein by reference. In one embodiment of the present invention, the desired ligand might be an antibody, a T or B cell receptor, an epitope, or a fragment of any of the foregoing including, but not limited to, molecules, such as proteins, glycoproteins, metal salts, ions, and the like. The gel may also include neurotransmitters, hormones, growth factors, cytokines, monokines, lymphokines, nutrients, enzymes, and receptors. Also included are structured elements such as macromolecular structures, organelles and cells, including, but not limited to, cells of ectodermal, mesodermal, and endodermal origin such as stem cells, blood cells, neural cells, immune cells, and gastrointestinal cells, and also microorganisms, such as fungi, viruses, bacteria and protozoa. Many of these gel encapsulated cells can produce a volume changing stimulus when exposed to specific analytes.

In one embodiment of the present invention, a hydrazide function is created in the dextran matrix for binding ligands containing aldehyde groups, for example antibodies, in which the carbohydrate chain has been oxidized so that it then contains an aldehyde function. In this instance, the dextran matrix is initially modified with carboxymethyl groups which are partly reacted to form hydrazide groups. With this activated matrix at least two important advantages are obtained: (1) This matrix contains unreacted carboxyl groups which in low ionic strength conditions will act as ion exchangers, and by electrostatic interaction the ligand which is to be immobilized is connected to the dextran matrix; (2) This matrix will very efficiently bind the ligand thus concentrated at the surface, viz. by condensation of ligand aldehyde groups with the hydrazide function of the matrix.

According to another embodiment of the present invention, a part of the carboxyl groups in carboxymethyl-modified dextran are modified so as to give

reactive ester functions, *e.g.*, by treatment with an aqueous solution of N-hydroxysuccinimide and N-(3-dimethyl-aminopropyl)-N'-ethylcarbodiimide hydrochloride. In the same way as in the example described above, the residual charges, *i.e.*, unreacted carboxyl groups, will contribute to effecting a concentration of ligands on the surface. Ligands containing amine groups such as, for example, proteins and peptides, may then be coupled to the dextran matrix by covalent bonds.

According to an alternative procedure, the aforesaid reactive ester is utilized for reaction with a disulfide-containing compound such as, for instance, 2- (2-pyridinyldithio) ethanamine: in this manner a matrix is obtained which contains disulfide groups, and these can be employed for coupling thiol-containing ligands such as, for example, reduced F(ab) fragments of immunoglobulins (*see* Brocklehurst, K., *et al.*, J. Biochem., vol. 133, p. 573, *et seq.* (1973), incorporated herein by reference). After cleavage of the disulfide bonds, for instance, by reduction or thioldisulfide exchange, the thiol modified surface formed can be used for coupling of a disulfide-containing ligand such as, for instance, N- succinimidyl 3-(2-pyridinyldithio) propionate (SPDP) modified proteins.

The advantage of this procedure is that the ligands via, for example, a reduction step can be cleaved off to give a sensing surface with reactive thiols. This thiol-modified surface can, in an analogous procedure, be used for renewed covalent coupling of thiol- or disulfide-containing ligands. In this way the capability of chemical regeneration of the sensing surface can be obtained, which can be used for general utilization of the same surface for couplings of several different ligands. The procedure can also be used when, for example, a biological interaction is studied, and this interaction cannot be broken while retaining biological activity of the immobilized ligand.

One important aspect of the present invention is that one or more of the layers forming the sensing surface to be used in a given analysis can be synthesized and/or functionalized *in situ* by adding the appropriate reagents to the surface in a flow-through cell in a biosensor system.

In summary, there are a multitude of ligands that can be employed for the detection of biomolecules by means of interacting therewith. It will be readily evident that ion exchanging groups, metal chelating groups and various types of receptors for biological molecules - known from conventional liquid chromatographic procedures - may be employed for the construction of systems which are suitable for selection purposes, even in complex measuring systems.

Metallo-organic materials, such as metallo-phthalocyanine, may also be included in the gel. Other substances, such as surfactants, inorganic salts, *e.g.*, NaBr, KBr, NaCl, KCl, NaI, and KI, polar organic additives, such as methanol and glycerol, tetra-alkylammonium bromides, and crown ethers, *e.g.*, benzo[18]crown-6, may be added to the gel to affect its swelling characteristics. Saito, Konno & Inomata; Irie. Light sensitive compounds, such as azobenzene chromophores, can be added to the gel to affect its characteristics.

The gel used in the present invention may also be made in a gradient arrangement, as set forth in "Molecular Gradients of Substituted Alkanethiols on Gold: Preparation and Characterization", by Bo Liedberg and Pentti Tengvall, published in Langmuir, Vol. 11, No. 10, 1995, pp. 3821-3827.

When the substrate with the gel atop the self-assembling monolayers is exposed to a stimulus or analyte that is capable of reacting with or affecting the gel, the sensing device produces optical diffraction patterns which change from the original optical diffraction pattern, depending on the reaction of the gel on the self-assembling monolayer with the stimulus of interest. It is to be understood that more than one self-

assembling monolayer can be printed on a substrate thereby allowing one to associate the gel with one self-assembling monolayer and not with the second self-assembling monolayer.

5 Self-assembled monolayers of organic compounds on inorganic or metal surfaces are becoming increasingly important in many areas of materials science. Although there are many different systems of self-assembling monolayers based on different organic components and supports, desired systems are those of alkanethiolates,  $\text{HS}(\text{CH}_2)_n\text{R}$ . Typically, a  
10 gold film, 5 to 2000 nm thick, is supported on a titanium-primed  $\text{Si}/\text{SiO}_2$  wafer or glass sheet. The titanium serves as an adhesion promoter between gold and the support. The alkanethiols chemisorb on the gold surface from a solution in which the gold film is immersed, and form adsorbed alkanethiolates with loss of hydrogen. Adsorption can also  
15 occur from the vapor. Self-assembling monolayers formed on gold from long-chain alkanethiolates of structure  $\text{X}(\text{CH}_2)_n\text{Y}-(\text{CH}_2)_m\text{S}$  are highly ordered and can be considered as crystalline or quasi-crystalline molecular arrays. A wide  
20 variety of organic functional groups (X,Y) can be incorporated into the surface or interior of the monolayer.

Self-assembling monolayers can therefore be tailored to provide a wide variety of material properties: wettability and protection against corrosion by chemical etchants are  
25 especially relevant to  $\mu\text{CP}$ . In one embodiment of the present invention, there are two or more self-assembling monolayers with different chemical properties. In another embodiment of the present invention, a first self-assembling monolayer is hydrophobic, and a second self-assembling monolayer is  
30 hydrophilic.

Figure 1 outlines the procedure used for microcontact printing onto a substrate. An elastomeric stamp is used to transfer by contact alkanethiol "ink" to a surface coated with a metal alloy. In a desired embodiment, the alloy surface is  
35 predominantly gold. Preferred alloys are those such as

nickel/gold, which are known to show an enrichment in the surface concentration of gold relative to its bulk concentration. Prediction of surface segregation of one metal of an alloy is described in M.P. Seah, "Quantitative Prediction of Surface Segregation," Journal of Catalysis, vol. 57, pp. 450-457 (1979), and J.J. Burton, *et al.*, "Prediction of Segregation to Alloy Surfaces from Bulk Phase Diagrams," Physical Review Letters, vol. 37, No. 21, pp. 1433-1436 (Nov. 22, 1976), both incorporated herein by reference. In one embodiment of the invention, the metal alloy has surface enrichment of a metal reacting with the self-assembling monolayer. If the stamp is patterned, a patterned self-assembling monolayer forms. The stamp is fabricated by casting polydimethylsiloxane (PDMS) on a master having the desired pattern. Masters are prepared using standard photolithographic techniques, or constructed from existing materials having microscale surface features. These methods are disclosed in U.S. Patent No. 5,512,131 and copending U.S. Patent Application Serial No. 08/707,456 entitled, "Method of Contact Printing on Metal Alloy Coated Polymer Films," and the U.S. patent application entitled, "Method of Contact Printing on Gold Coated Films," filed December 18, 1996, all of which are incorporated herein by reference.

In a typical procedure, a photolithographically produced master is placed in a glass or plastic Petri dish, and a 10:1 ratio (w:w or v:v) mixture of SYLGARD silicone elastomer 184 and SYLGARD silicone elastomer 184 curing agent (Dow Corning Corporation) is poured over it. The elastomer is allowed to sit for approximately 30 minutes at room temperature and pressure to degas, then cured for 1 to 4 hours at 60°C, and gently peeled from the master. "Inking" of the elastomeric stamp is accomplished by exposing the stamp to a 0.1 to 10 mM solution of alkanethiol in anhydrous ethanol, either by pouring the solution over the surface of the stamp, or by rubbing the stamp gently with a Q-tip that has been

saturated with the inking solution. The stamp is allowed to dry until no liquid is visible by eye on the surface of the stamp (typically about 60 seconds), either under ambient conditions, or by exposure to a stream of nitrogen gas. Following inking, the stamp is applied to a metal alloy, *e.g.*, nickel/gold surface. Very light hand pressure is used to aid in complete contact between the stamp and the surface. The stamp is then gently peeled from the surface. Following removal of the stamp, the surface is washed of excess thiol and the patterned metal alloy surface can be subjected to chemical etchants (see below) that selectively remove underivatized areas of the metal alloy surface, and if desired, the underlying support(s). Alternatively, further derivatization of unstamped areas can be accomplished, either by using a second stamp, or by washing the entire surface with a different alkanethiol.

The elastomeric character of the stamp is essential to the success of the process. Polydimethylsiloxane (PDMS), when cured, is sufficiently elastomeric to allow good conformal contact of the stamp and the surface, even for surfaces with significant relief; this contact is essential for efficient contact transfer of the alkanethiol "ink" to the alloy-coated film. The elastomeric properties of PDMS are also important when the stamp is removed from the master. If the stamp is rigid (as is the master) it is difficult to separate the stamp and master after curing without damaging one of the two substrates. PDMS is also sufficiently rigid to retain its shape, even for features with sub-micron dimensions. Patterns with lines as small as 200 nm in width have been generated. The surface of PDMS has a low interfacial free energy ( $\gamma = 22.1$  dynes/cm), and the stamp does not adhere to the metal alloy coated film. The stamp is durable. The same stamp has been used up to 100 times over a period of several months without significant degradation in performance. The polymeric nature of PDMS also plays a critical role in the inking procedure by enabling the stamp to absorb the alkanethiol ink by swelling.

Microcontact printing on metal alloy surfaces can be conducted with a variety of alkanethiol "inks". Alkanethiols that do not undergo reactive spreading (after application to the metal alloy film) are required for formation of small features with high resolution. For stamping in air, one can use autophobic alkanethiols such as hexadecanethiol. Microcontact printing of other non-autophobic alkanethiols, for example,  $\text{HS}(\text{CH}_2)_{15}\text{COOH}$ , can be conducted by stamping under a liquid such as water. Patterned self-assembling monolayers of alkanethiols on metal alloy provide excellent resist character with a number of wet-chemical etchants.

In one embodiment of the present invention, the self-assembling monolayer is formed of a carboxy-terminated alkane thiol stamped with a patterned elastomeric stamp onto a nickel/gold-surfaced thermoplastic film such as MYLAR<sup>®</sup>. The stamp is inked with a solution of alkanethiol in ethanol, dried, and brought into contact with a surface of nickel/gold. The alkanethiol is transferred to the surface only at those regions where the stamp contacts the surface, producing a pattern of self-assembling monolayer which is defined by the pattern of the stamp. Optionally, areas of unmodified nickel/gold surface next to the stamped areas can be rendered hydrophobic by reaction with a methyl-terminated alkane thiol. The film is then contacted with a solution capable of forming a gel, *e.g.*, an aqueous solution of N-isopropylacryamide: for example, to coat the film it may be drawn through a two phase system of water and toluene. The polymer then assembles onto the patterned, hydrophilic SAM, forming the sensing device of the present invention.

A desirable embodiment of the present invention is a thermoplastic film substrate upon which the SAM with the gel is placed. Any thermoplastic film upon which a metal substrate can be deposited is suitable for the present invention. These include, but are not limited to polymers such as: polyethylene-terephthalate (MYLAR<sup>®</sup>), acrylonitrile-



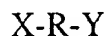
butadiene-styrene, acrylonitrile-methyl acrylate copolymer, cellophane, cellulosic polymers such as ethyl cellulose, cellulose acetate, cellulose acetate butyrate, cellulose propionate, cellulose triacetate, cellulose triacetate, 5 polyethylene, polyethylene - vinyl acetate copolymers, ionomers (ethylene polymers) polyethylene-nylon copolymers, polypropylene, methyl pentene polymers, polyvinyl fluoride, and aromatic polysulfones. Preferably, the plastic film has an optical transparency of greater than 80%. Other suitable 10 thermoplastics and suppliers may be found, for example, in reference works such as the *Modern Plastics Encyclopedia* (McGraw-Hill Publishing Co., New York 1923-1996), incorporated herein by reference.

In one embodiment of the invention, the thermoplastic 15 film with the metal coating thereon has an optical transparency of between approximately 5% and 95%. A more desired optical transparency for the thermoplastic film used in the present invention is between approximately 20% and 80%. In a desired embodiment of the present invention, the 20 thermoplastic film has at least an approximately 80% optical transparency, and the thickness of the metal coating is such as to maintain an optical transparency greater than about 20%, so that diffraction patterns can be produced by either reflected or transmitted light. This corresponds to a metal coating 25 thickness of about 20 nm. However, in other embodiments of the invention, the gold thickness may be between approximately 1 nm and 1000 nm.

The preferred metal alloy for deposition on the film is gold and another metal. However, alloys of silver, aluminum, 30 copper, iron, zirconium, platinum, nickel may also be used. Preferred metals are ones that do not form oxides, and thus assist in the formation of more predictable self-assembling monolayers. Alloys such as Ni/Au, Pt/Au, and Cu/Au, which show surface enrichments of Au, are suitable.

In principle, any surface with corrugations of appropriate size could be used as masters. The process of microcontact printing starts with an appropriate relief structure, from which an elastomeric stamp is cast. This 'master' template may be generated photolithographically, or by other procedures, such as commercially available diffraction gratings. In one embodiment, the stamp may be made from polydimethylsiloxane.

In one embodiment of the present invention, the self-assembling monolayer has the following general formula:



X is reactive with metal or metal oxide. For example, X may be asymmetrical or symmetrical disulfide (-R'SSR, -RSSR), sulfide (-R'SR, -RSR), diselenide (-R'Se-SeR), selenide (-R'SeR, -RSeR), thiol (-SH), nitrile (-CN), isonitrile, nitro (-NO<sub>2</sub>), selenol (-SeH), trivalent phosphorous compounds, isothiocyanate, xanthate, thiocarbamate, phosphine, thioacid or dithioacid, carboxylic acids, hydroxylic acids, and hydroxamic acids.

R and R' are hydrocarbon chains which may optionally be interrupted by hetero atoms and which are preferably non-branched for the sake of optimum dense packing. At room temperature, R is greater than or equal to seven carbon atoms in length, in order to overcome natural randomizing of the self-assembling monolayer. At colder temperatures, R may be shorter. In a preferred embodiment, R is -(CH<sub>2</sub>)<sub>n</sub>- where n is between 10 and 12, inclusive. The carbon chain may optionally be perfluorinated. See Regen, S.L., *et al.*, (1986), J. Am. Chem. Soc., vol. 108, pp. 6094-5, and Ringsdorf, H., *et al.*, Angew. Chem. Int. Ed., Engl., vol. 27, pp. 113-158 (1988), both incorporated herein by reference.

Y may also have any surface property of interest. For example, Y could be any among the great number of groups

used for immobilization in liquid chromatography techniques, such as hydroxy, carboxyl, amino, aldehyde, hydrazide, carbonyl, epoxy, or vinyl groups. Examples of sensing layer materials are set forth in "Patterning Self-Assembled Monolayers Using Microcontact Printing: A New Technology for Biosensors?," by Milan Mrksich and George M. Whitesides, published in TIBTECH, June, 1995 (Vol. 13), pp. 228-235; and U.S. Patent No. 5,436,161 to J. Bergstrom, *et al.*, hereby incorporated by reference.

In one embodiment of the invention, the gel is coupled to Y. For example, both the gel and the SAM may be hydrophilic.

Self-assembling monolayers of alkyl phosphonic, hydroxamic, and carboxylic acids may also be useful for the methods and compositions of the present invention. Since alkanethiols do not adsorb to the surfaces of many metal oxides, carboxylic acids, phosphonic acids, and hydroxamic acids may be preferred for X for those metal oxides. *See* J. P. Folkers, G.M. Whitesides, *et al.*, *Langmuir*, 1995, vol. 11, pp. 813-824.

R may also be of the form  $(CH_2)_a-Z-(CH_2)_b$ , where  $a \geq 0$ ,  $b \geq 7$ , and Z is any chemical functionality or compound of interest, such as sulfones, urea, lactam, etc.

The stamp may be applied in air, or under a fluid such as water to prevent excess diffusion of the alkanethiol. For large-scale or continuous printing processes, it is most desirable to print in air, since shorter contact times are desirable for those processes.

In one embodiment of the present invention, the pattern is formed on the metallized thermoplastic polymer with the self-assembling monolayer. In another embodiment of the present invention, the relief of the pattern is formed with the self-assembling monolayer. After the stamping process, the metallized areas on the plastic may optionally be passivated,

for example, with a methyl-terminated self-assembling monolayer such as hexadecylmercaptan.

5 The appearance, or disappearance, of a holographic image can be used to indicate the presence of a stimulus in the local environment, thus, holograms can be used to simplify such a device and to present the display information to a consumer in a user-friendly fashion. A computer algorithm is used to calculate and generate diffraction hologram patterns of pre-defined objects.. Transfer of the computer-generated  
10 pattern to a responsive gel on metallized Mylar® was accomplished via a simple printing process.

Applications for optical holography include digital data storage, microscopy, spectroscopy pattern recognition, and displays. Handbook of Optical Holography (H. J. Caulfield,  
15 editor), New York. Academic Press 1979, incorporated herein by reference. Many of these applications rely on traditional holographic methods which are not well suited to the requirements of a sensor device.

20 The present invention allows one to computer-generate a complex holographic pattern of a specified object, print it on high resolution film, and convert the patterned elastomeric stamp for final transfer to a substrate upon which a selectively responsive material can be self-assembled to form the light-diffracting hologram pixels.

25 The ability to easily view a holographic image with the unaided eye involves consideration of a number of variables including (1) lighting conditions, (2) the refractive index modulation amplitude in the holographic material, (3) the hologram information content, (4) the type of hologram  
30 (volume phase, amplitude, transmission, reflection, etc.), (5) the thickness of the active diffraction layer, and (6) the hologram's activated pixel population. The use of a hologram for a sensor application requires precise receptor patterning, micro-reactor site activation by the stimulant, and a

corresponding local change in light absorption or refractive index.

In the present invention, sensor information extracted from the light pattern diffracting from a regular array of micro-bumps is quantified and related to physical changes (size, shape, and refractive index) at the micro-bump level.

According to the present invention, the hologram pattern is fabricated for sensor applications. The method according to the present invention is compatible with continuous-print processes and it involves the following steps: (a) computation of the printed hologram pattern based on a preselected visual image to display, (b) formatting and printing the pattern on high-resolution transparency film, (c) photolithographic conversion of the pattern from gray-scale to surface-relief, (d) conversion of the surface-relief pattern to an elastomeric stamp, (e) stamp printing hydrophilic/hydrophobic mono-layer regions on a metallized MYLAR® substrate, and finally, (f) assembling analyte-responsive material on regions defined in the stamp printing process.

A special light source, such as a laser pointer or a white-light point source, is desirable for viewing the printed holographic image. Each location in the printed hologram contains information about a perspective view of the whole image. Therefore, when a laser pointer is used to project the image onto a screen, the beam only needs to propagate through a small section of the hologram to reconstruct the whole image. Alignment is not critical.

According to the present invention, a hologram pattern printed on a two-dimensional (surface) substrate represents only the real part of the complex light propagation information. Therefore, both a real and a symmetric conjugate (virtual) image are reconstructed during viewing. The "dual" image formation lends an added degree of flexibility but it also

effectively reduces the unobscured, viewable image space by half.

5 The size, relative position, and information content of the holographic image are all limited by the resolution capabilities of the printer. A 3600 dot per inch (dpi) printout limits the image full fan angle to just over 5 degrees (the farther away from the film, the larger the image can be). Furthermore, to avoid overlap with the twin image, the effective image fan angle for a hologram generated with a maximum 3600 dpi resolution is reduced to 2.5 degrees. 10 Methods exist whereby a 10 times photolithographic reduction of the hologram pattern can be used to increase the effective density of the pixels to 36,000 dpi, thereby, increasing the effective (unobscured) image fan angle to 32 degrees. If 15 higher resolution is necessary conventional photo or electron beam lithographic processes can be used.

The diffracted image can be optimized for the specific method of indication. For example, an image as simple as a single, off-axis, projected dot may be ideal when using a photo-diode for detection, but a complex symbol image such as a skull and crossbones may be better suited for detection by the human eye. Each type of image can be specified; however, the hologram computation time increases linearly with each added image pixel. 20

25 A diffraction based sensor according to the present invention utilizes the interaction of light with a sensing medium to alter the transmitted or reflected diffraction pattern. Figure 10 shows a periodic structure consisting of polymer micro-bumps patterned in an array. A change in the array's micro-bump size, shape, color, optical density, or 30 refractive index may be triggered by the presence of some particular analyte in the local environment. Understanding the relationship between this physical change and the ensuing light diffraction change is important in the design of the present invention. 35

A side-view of the array and the resulting (forward) diffraction orders is depicted in Figure 11. Lower case lambda ( $\lambda$ ) is the wavelength of the incoming light, and upper case lambda ( $\Lambda$ ) represents the bump center to center spacing. Incident monochromatic light with wavelength  $\lambda$  is diffracted into several orders with each particular order  $m$  characterized by the diffraction angle  $\phi$  (sub  $m$ ) equals  $\arcsin(\lambda \times m) / \Lambda$ , for  $m$  equals 0,  $\pm 1$ ,  $\pm 2$ , . . . ,  $\pm \Lambda / \lambda$ .

As can be concluded from Figure 11, no sensing information is obtained by tracking the angle of diffraction; however, changes in the bump size and shape will be reflected in the relative intensities of the diffraction orders. A change in bump spacing, however, will change the diffraction angle.

The far-field diffraction pattern for a typical micro-bump array is shown in Fig. 12. The left-hand side of Figure 12 gives a diffraction pattern for a micro-bump array with a 15 micron period, and one for a 3 micron period on the right. The brightness or irradiance of each spot is related to the statistical average physical shape, size, and refractive index of the sampled bumps. The sampled bumps include all bumps illuminated by the probe beam. The spot irradiance and the bump profile are mathematically related by the Fourier coefficients of the near field transmitted beam series expansion expression. Figure 13 graphically illustrates this relationship. The transmitted far field electric field magnitude  $|T(u)|$  is periodic, because the light wave front is periodically perturbed (delayed) as it travels through the higher refractive index micro-bumps, where each order's electric field ( $a_0, a_1, a_2, \dots$ ) is related to the perturbed wave front's Fourier series coefficient. Wave-fronts traveling through the bumps undergo a phase delay in proportion to the path-length traveled through the bump. The periodic phase-delay perturbation gives rise to a periodic far-field pattern. The far-field electric field magnitude of the diffraction pattern spots are related to the bump profile,  $h(x)$ , through the near-field transmitted electric

field,  $t(x) = \exp(j k h(x))$ , where  $k = 2\pi n/\lambda$ , where  $n$  is the refractive index of the bump region. The Fourier coefficients, and hence the square root of the detected irradiance for each order are calculated by the overlap integral:  $a_{(sub m)} = (1/\Lambda) \int_{-\Lambda/2}^{+\Lambda/2} t(x) \cos(mkx) dx$ , where  $R$  is the quantity  $t(x) \cos(mkx)$  integrated from  $-\Lambda/2$  up to  $+\Lambda/2$ , where it is assumed that  $t(x)$  is a symmetric function. If  $t(x)$  is not symmetric, one must also calculate the overlap integral of  $t(x)$  with the odd function,  $\sin(mkx)$ . This Fourier series coefficient expression is what allows us to predict and relate a change in the micro-bump array diffraction pattern to a change in the bump characteristics, which in turn are related to the local analyte concentration.

An example calculation depicting the diffraction order irradiance for two truncated cosinusoidal functions of different height is shown in Fig. 14. This figure illustrates a calculated half-plane, on-axis diffraction pattern irradiance for two different micro-bump arrays with refractive index = 1.5, period  $\Lambda = 15$  micrometers, bump width = 10 micrometers, bump height = 1.5 micrometers (large dots), bump height = 0.9 micrometers (small dots). The abscissa is in degrees, and the ordinate is measured in power % of total transmittal of irradiance. An individual bump profile is shown in the inset. The example diffraction spectra shown in Fig. 14 illustrates a unique and measurable relationship between the bump shape and the diffraction pattern irradiance. Thus, an analyte-induced change in the bump profile would give rise to an intensity change in one or more diffraction orders. This intensity change can be measured, and in turn, related to the presence of analyte in the local environment.

The principal drawback associated with the micro-bump array diffraction sensor is the difficulty in relating and quantifying the changes in the detected diffraction irradiance signal to an input stimulus (*i.e.*, temperature, pH, etc.). Small errors introduced at any point in the transformation from detected irradiance to temperature scale are greatly multiplied



due to the non-linear transformations. Sensitivity for such a device is highly dependent on the bump geometry and initial volume. Furthermore, since the gel has a minimum trigger temperature and since it undergoes an approximate 10 times volume change over a few degrees, the dynamic range of the sensing device of the present invention is extremely limited.

One of the goals established from the onset was that the detection results should be easily interpreted without the aid of support electronics. Therefore, it is necessary to assemble the micro-bump reactors in a pattern such that the diffracted light forms an image that is easily recognizable by the eye. Furthermore, a salient feature of the diffracted image should change to indicate detection of a pre-selected analyte in the local environment.

The present invention is a unique combination of micro-lithographic and SAM fabrication techniques that have made it possible to transform the gray-scale film printout to a surface-relief pattern in photoresist, form a stamp of the pattern, and define responsive-gel-adhering, hydrophilic-patterned regions on metallized MYLAR®. The type of hologram sensor platform produced according to the present invention is unique with a whole set of unique properties that may be advantageous when compared side by side with other types of sensors. The integration of the visual display with the sensing surface according to the present invention is an important step in reducing costs associated with support electronics.

This invention is further illustrated by the following examples, which are not to be construed in any way as imposing limitations upon the scope thereof. On the contrary, it is to be clearly understood that resort may be had to various other embodiments, modifications, and equivalents thereof, which, after reading the description herein, may suggest themselves to those skilled in the art without departing from the spirit of the present invention.

### Example 1

*Printing of nickel/gold-coated MYLAR® (polyethylene terephthalate) with patterns of 16-mercaptohexadecanoic acid and hexadecanethiol*

A nickel/gold alloy of 15.9 nM thickness was sputter-coated onto 7 mil MYLAR®. The composition had 65% visible light transmittance, and 65 ohms/cm<sup>2</sup> resistance. The following results from XPS surface analysis were obtained.

<u>Sputter Time</u> <u>(sec)</u>	<u>%C</u>	<u>%O</u>	<u>%Au</u>	<u>%Ni</u>
0	51.5	8.0	40.5	ND
15	33.3	6.4	60.3	ND
30	20.2	ND	71.7	8.0
60	19.3	ND	72.4	8.3

ND means "not detected", i.e., less than 0.2 atom-percent.

These results show that the outermost surface of the Ni/Au alloy is predominantly Au, i.e., Ni is not detected until after approximately 5.0 nM of Au is removed. Thus, the alloy presents a surface that resembles pure gold and can be used as a "pure gold" surface for contact printing.

MYLAR® film modified with a sputter-deposited nickel/alloy topcoat was obtained from Courtaulds Performance Films (21034 Osborne Street, Canoga Park, CA 91304). Patterns of hydrophilic, carboxy-terminated alkane thiols were stamped onto the Ni/Au metallized MYLAR® using CH<sub>3</sub>(CH<sub>2</sub>)<sub>15</sub>SH and HOC(O)(CH<sub>2</sub>)<sub>15</sub>SH acid by the following method. (See Figure 1). An exposed and developed photoresist pattern of 10 micron diameter circles on a silicon wafer was used as the master. Polydimethylsiloxane (PDMS; silicone elastomer 184; Dow Corning Co., Midland, MI), was polymerized on a master to produce a stamp with ten micron-diameter circles spaced five microns apart. The stamp was

inked by exposure to a solution (1 to 10 mM in ethanol) of 16-mercaptohexadecanoic acid, and allowed to air-dry. The substrate was contacted with the stamp for 50 seconds and washed for 2 to 4 seconds with a solution of hexadecanethiol (1 to 10 mM in ethanol) which reacts with the gold in regions not covered by the carboxy terminated thiol. A patterned surface with CO<sub>2</sub>H and CH<sub>3</sub> is thus produced. The substrate was finally washed for 10 seconds in ethanol and dried in a stream of nitrogen. The results of this printing are shown in Figure 2.

These hydrophilic self-assembling monolayer circles allow for selective placement of high surface tension fluids such as water, triethylene glycol, or ultraviolet light curable urethane acrylic adhesives. Aqueous solutions of responsive gels with or without indicators sensitive to analytes can also be placed selectively on the monolayer circles. These liquids can contain dissolved and suspended reagents that react chemically or physically with targeted analytes, thus making the coated plastic film a collection of 10 micron microreactors suitable for low-cost, disposable chemical sensors. An example of such a device is shown in Figure 3a.

Diffraction of visible light was shown with these compositions. Both reflected and transmitted diffraction patterns were observed when using 5mW, 670 nm laser illumination. Figure 3b is a photograph of the diffraction pattern formed by visible light shown through the self-assembling monolayer pattern of Figure 3a. Rainbow diffraction colors were observed with transmitted white light.

#### Measurement of Contact Angles

Contact angles were measured on a Rame-Hart Model 100 goniometer at room temperature and ambient humidity. Water for contact angles was deionized and distilled in a glass and Teflon apparatus. Advancing and receding contact angles were measured on both sides of at least three drops of each

liquid per slide; data in the figures represents the average of these measurements. The following method was used for measuring contact angles: A drop approximately 1-2 microliters in volume was grown on the end of a pipette tip (Micro-Electrapette syringe; Matrix Technologies; Lowell, MA). The tip was then lowered to the surface until the drop came in contact with the surface. The drop was advanced by slowly increasing the volume of the drop (rate approximately 1 microliter/second). Advancing contact angles of water were measured immediately after the front of the drop had smoothly moved a short distance across the surface. Receding angles were taken after the drop had smoothly retreated across the surface by decreasing the volume of the drop.

#### X-ray Photoelectron Spectroscopy (XPS)

X-ray photoelectron spectra were collected on a Surface Science SSX-100 spectrometer using a monochromatized Al K-alpha source ( $h\nu=1486.6$  electron volts). The spectra were recorded using a spot size of 600 micrometers and a pass energy on the detector of 50 electron volts (acquisition time for one scan was approximately 1.5 minutes). For the monolayers, spectra were collected for carbon and oxygen using the 1s peaks at 285 and 530 eV, respectively; the binding energies for elements in the monolayer were referenced to the peak due to hydrocarbon in the C 1s region, for which the binding energy was fixed at 284.6 eV. Spectra for the solid hydroxamic acid were collected using an electron flood gun of 4.5 eV to dissipate charge in the sample. The binding energies for the substrates were not standardized to a reference sample. All spectra were fitted using an 80% Gaussian/20% Lorentzian peak shape and a Shirley background subtraction. See J.P. Folkers, G.M. Whitesides, *et al.*, *Langmuir*, vol. 11, no. 3, pp. 813-824 (1995).

### Condensation Figures

Condensation figures (CFs) are arrays of liquid drops that form upon condensation of vapor onto high surface energy regions of a patterned solid surface. The examination of condensation figures has historically been used as a method to characterize the degree of contamination on an otherwise homogeneous surface. One is able to impose a pattern on arrays of condensed drops by patterning the surface underlying them into regions of different solid-vapor interfacial free energy and to characterize the patterned CFs by photomicroscopy and optical diffraction. It can be demonstrated that appropriately patterned CFs can be used as optical diffraction gratings and that examination of the diffraction patterns provides both a rapid, nondestructive method for characterizing patterned self-assembling monolayers and an approach to sensing the environment (see Figure 6, explained below). Because the form of the CFs—that is, the size, density, and distribution of the drops is sensitive to environmental factors, CFs of appropriate size and pattern diffract light and can be used as sensors. This principle is demonstrated by correlating the temperature of a substrate patterned into hydrophobic and hydrophilic regions, in an atmosphere of constant relative humidity, with the intensity of light diffracted from CFs on these regions.

Appropriate patterns are formed from self-assembled monolayers (self-assembling monolayers) on gold/nickel by using combinations of hexadecanethiol [ $\text{CH}_3(\text{CH}_2)_{15}\text{SH}$ ], 16-mercaptohexadecanoic acid [ $\text{HS}(\text{CH}_2)_{15}\text{COOH}$ ], and 11-mercaptoundecanol [ $\text{HS}(\text{CH}_2)_{11}\text{OH}$ ]. Several techniques are now available for preparing patterns of two or more self-assembling monolayers having 0.1- to 10- $\mu\text{m}$  dimensions.

At 20°C, an incident beam of light from a laser (helium-neon laser, wavelength = 632.8 nm) produced a single transmitted spot because no water had condensed on the surface, and the transmittance of the regions covered with

different self-assembling monolayers were effectively indistinguishable. As the surface was exposed to warm, moist air, droplets of water condensed preferentially on the hydrophilic regions. Diffraction patterns appeared in the light transmitted from the surface. Under these conditions, light was transmitted coherently from the regions where no water had condensed and was scattered by the regions where water had condensed. The condensation figures disappeared within several seconds as the water droplets which condensed on the self-assembling monolayers evaporated.

The ability to form condensation figures can be ascertained by the relative contact angles of water on the hydrophobic and hydrophilic self-assembling monolayers. Unpatterned monolayers of the appropriate thiol were prepared by immersion of the substrate in a dilute solution for one hour, followed by rinsing with ethanol and air drying.

The contact angles of water on Au(Ni)/MYLAR® reacted with  $\text{CH}_3(\text{CH}_2)_{15}\text{SH}$  and  $\text{HOC}(\text{O})(\text{CH}_2)_{15}\text{SH}$  were  $100^\circ$  and  $62^\circ$ , respectively. The untreated Au(Ni)/MYLAR® contact angle for water was  $73-77^\circ$ . This water contact angle is similar to that obtained for Au coated  $\text{SiO}_x$  wafers, which is  $73-74^\circ$  (data not shown).

Condensation Figures [*Science*, Vol. 263, 60 (1994), incorporated herein by reference] with equivalent optical diffraction can be formed on Au/Ni:MYLAR®, relative to known art with Au:SiO<sub>x</sub>. The chemistry of alkane-thiols reacting with Au/Ni:MYLAR® is similar to that reported in the literature for Au:SiO<sub>x</sub>.

A field emission secondary electron microscope image of 10 micron-diameter circles of hydrophilic self-assembling monolayers formed by printing of 16-mercaptohexadecanoic acid onto MYLAR® metallized with Ni/Au alloy is shown in Figure 2. Figure 3a is an optical photomicrograph at 300x magnification of 10 micron-diameter circles of hydrophilic self-assembling monolayers formed by printing of 16-

mercaptohexadecanoic acid, and after exposure to a high surface energy, curable, optical adhesive. The adhesive was cured by ultraviolet light (UV) exposure.

Figure 3b is a photograph of the diffraction pattern formed by visible light shown through the self-assembling monolayer pattern described by Figure 3a.

Figure 4 is a field emission secondary electron micrograph images of 10 micron-diameter circles formed of self-assembled photocurable polymers on hydrophilic self-assembling monolayers.

Figure 6, in the left hand vertical column, shows printed arrays, on the right-hand column shows the resulting diffraction patterns. The inset in the left hand column in Figure 6 D gives the scale of the patterns.

## Example 2

*Placing a hydrogel atop patterns of self-assembling monolayers printed on nickel/gold-coated MYLAR® (polyethylene terephthalate)*

Solutions of a chemically responsive gel were prepared by polymerizing an aqueous solution of N-isopropylacrylamide (NIPA) (18 weight %) using persulfate and ascorbic acid. Briefly, a 250 mL Erlenmeyer flask was charged with 18.0 gm NIPA monomer and 80g distilled water. The resulting solution was stirred using a magnetic stir bar, and 0.08 g potassium persulfate was allowed to dissolve. A rubber septa was placed on the flask, and inlet and outlet needles were used to purge the flask with nitrogen. Stirring constantly, the flask was cooled in an ice bath to approximately 0°C. A solution of 0.07 g L-ascorbic acid in 3 mL distilled water was injected into the mixture. Within 2 hours, the mixture had polymerized to a very viscous, clear solution. The resulting polymer was diluted with additional water to a concentration of 2 percent by weight. To this solution was added an equal volume of toluene to produce a two phase system. The printed

MYLAR® film of **Example 1** was drawn through both phases of this system to self-assemble the aqueous solution of polymer onto the patterned, hydrophilic SAM. This resulted in a sensing device containing a stimuli responsive gel.

### Example 3

*Printing of gold-coated MYLAR® (polyethylene terephthalate) with patterns of 16-mercaptohexadecanoic acid and hexadecanethiol*

Patterns of gold-coated MYLAR® (polyethylene terephthalate) were printed with patterns of 16 mercaptohexadecanoic acid and hexadecanethiol, in a manner similar to that shown in Figure 1, and described below.

MYLAR® film modified with a plasma deposited gold topcoat was obtained from Courtaulds Performance Films (Canoga Park, CA 91304). An atomic force microscopy image of this MYLAR® film is shown in Figure 5. Polymer film thickness between 2 and 7 mils and gold topcoats producing a surface resistance of 65 ohms per square centimeter with a visible light transmittance between 20% and 65% were used.

Patterns of hydrophilic, carboxy-terminated alkane thiols were stamped onto gold-coated film using 16-mercaptohexadecanoic acid by the following method. An exposed and developed photoresist pattern of 10 micron diameter circles on a silicon wafer was used as the master. Polydimethylsiloxane (PDMS; silicone elastomer 184; Dow Corning Co., Midland, MI), was polymerized on a master to produce a stamp with ten micron-diameter circles spaced five microns apart. The stamp was inked by exposure to a solution (1 to 10 mM in ethanol) of 16-mercaptohexadecanoic acid, and allowed to air-dry. The substrate was contacted with the stamp for 50 seconds and washed for 2 to 4 seconds with a solution of hexadecanethiol (1 to 10 mM in ethanol). The substrate was



finally washed for 10 seconds in ethanol and dried in a stream of nitrogen. (Results not shown).

#### Example 4

##### 5      *Experimental Diffraction-measurement*

        An experimental diffraction-measurement schematic is shown in Fig. 15. This figure shows an experimental setup for quantifying the diffraction spectra from a diffraction array. The elements of the set-up include a Helium/Neon  
10      laser, a remote shutter, a polarizer, a neutral density filter, a mirror, and expander, an iris, a sample, a lens, a Fourier transformed lens, a zoom lens, a CCD camera, a monitor, a frame grabber, and a computer with image analysis software.

        Coherent light with wavelength  $\lambda = 0.6328$  micrometers  
15      was diffracted by the sample which was enclosed in an environment chamber. The diffraction orders were collected by a lens and imaged onto a CCD camera where the image was displayed on a monitor and stored on a computer for image processing and analysis. This experimental setup was used to  
20      measure the two dimensional diffraction image intensity from a self-assembled-monolayer (SAM)-patterned array of temperature-responsive poly-N-isopropylacrylamide (NIPA). The poly-NIPA bumps undergo a volume shrinkage with increasing temperature, therefore, the detected diffraction  
25      order irradiance from one spot to the next changed, as was predicted by the model, with a rise in temperature. An example image captured during this experiment is shown below in Fig. 16. The zero order beam in this figure was blocked to eliminate CCD saturation. S. Hirotsu, Y. Hirokawa, and T. Tanaka, "Volume-phase transitions of ionized  
30      N-isopropylacrylamide gels," J. Chem. Phys., vol. 87, no. 2, pp. 1392-1394, July 15, 1987, incorporated herein by reference.

        Conversion from the diffraction pattern's gray-scale  
35      image to temperature scale must be done with extreme care

because it involves at least five sequential transformations including: (1) a non-linear relationship between the gel temperature and the micro-bump volume, (2) a relationship between the bump volume and shape, (3) a non-linear (oscillating) relationship between the bump shape and the signal detected with the CCD device (see Fig. 17) and finally, (4) scaling and quantization of the detected signal for digital storage of the image.

Assuming the bump is cosinusoidal shaped with height  $h$ , and constant base diameter  $d$ , the volume  $v$ , of the microbump is  $v = hd^2(1 - 2/\pi)$ , i.e., the volume is linearly related to the height for this shape. Figure 17 is a graph of the first-order diffraction irradiance as a function of the micro-bump height for a bump refractive index of 1.5, base width of 10 micrometers, and a period of 15 micrometers. The oscillating irradiance curve is linear in a finite range, and limits the useful bump height to approximately 0.3 micrometers for this geometry. The abscissa measure bump height in microns, and the ordinate measures irradiance in power % of total transmittal of irradiance.

### Example 5

#### *Holographic pattern design and method*

A novel hologram synthesis method compatible with contact printing and SAM (see A. Kumar, G. Whitesides, *et al.*, cited *supra*) technology was developed. The hologram computation is based on traditional holography theory with adjustments made to account for physical considerations such as printer resolution, wavelength scaling, sampling theory, and image content. The recent development and availability of high-resolution film printers is one of key enabling technologies which has allowed rapid refinement cycles and cost effective hologram synthesis. It should be stressed that the diffracted image quality is directly limited by the printer resolution, i.e., by how small and close together individual

dots can be placed in the printer output. A 3600 dot per inch specification means that the minimum resolution the printer is capable of producing is 7 micrometer diameter dots with a 7 micrometer center- to center spacing. To put this into perspective, standard holographic silver halide films range in grain size from 0.05 micrometers to 1.0 micrometers. The table below summarizes the "rule of thumb" resolution requirements for embossed holograms of various quality. One must be aware that the 7 micrometer feature-size is huge in terms of traditional display holography and severe limitations are imposed by such resolution, nevertheless, a limited class of special thin transmission holograms can be readily made with such resolution, enabling low-cost development and testing of the holographic diffraction sensor.

"Rule of thumb" resolution requirements for embossed holograms of various quality

EMBOSSSED IMAGE QUALITY	DENSITY (pixels/mm)	FEATURE SIZE ( $\mu$ meters)
Very High Quality 3D images	14,000	< 0.7
Medium Quality 3D images	~ 1,000	~ 1.0
Good 2D, 3D images	~ 600	~ 1.7
Good 2D, Poor 3D images	< 450	> 2.0

Figure 18 depicts the geometry for a traditional holographic transparency recording setup. The figure shows an object plane, a film plane, a signal beam, and a reference beam, with a smiling face as the holographic image. Cartesian coordinates are also shown, with (0,0,0) as the reference point, and  $\Phi$  as the angle the reference beam makes with the z axis in the x-z plane. The object plane consists of small transparent holes in an opaque background. The signal and reference beams are assumed mutually coherent and monochromatic. The light propagating from each point in the object plane can be expressed, using Huygens principle, as an expanding

spherical wave with an electric field phasor representation:  
 $E(r)=\exp(jkr)/r$ , where  $k=2\pi/\lambda$  and  $j=\sqrt{-1}$ . The reference  
 plane-wave beam is incident on the film plane at an angle  $\phi_{\text{sub(ref)}}$   
 with respect to the film normal. The image beam and  
 the reference beam interfere and the constructive/destructive  
 interference pattern is recorded in the film. Setting  $\phi_{\text{sub(ref)}}=0$  reduces the resolution requirements of the film but  
 an on-axis hologram is produced. The drawbacks of the  
 on-axis hologram will be discussed subsequently.

The holographic recording setup in Fig. 18 can be  
 modeled on a computer and an interference pattern at the film  
 plane can be calculated, converted to a gray-scale bit map  
 image, and printed out on a high-resolution film printer. The  
 result is a computer generated transparency hologram. Each of  
 the  $N$  object points (*i.e.*, the dots making up the smile-face  
 image in Fig. 18) are expressed in Cartesian coordinates as  
 $(x_0, y_0, z_0)$ . Each point can be assigned a unique position,  
 therefore, 3-dimensional object representation is allowed. Each  
 point in the film plane is expressed as  $(x_f, y_f, z_f)$  where  $z_f$  is  
 the minimum distance from the object to the film plane, and it  
 is usually a fixed value, thereby denoting a flat piece of  
 recording film. A convenient zero-phase reference point in  
 the film plane is picked and designated  $(x_{f0}, y_{f0}, z_{f0})$ . The  
 minimum distance from each point on the object to an  
 arbitrary point on the film is:

$$r = [(x_0 - x_f)^2 + (y_0 - y_f)^2 + (z_0 - z_f)^2]^{1/2}$$

The radial distance to the zero- phase reference point is:

$$r_0 = [(x_0 - x_{f0})^2 + (y_0 - y_{f0})^2 + (z_0 - z_{f0})^2]^{1/2}$$

The difference between the  $r$  and  $r_0$ , scaled by the propagation  
 constant,  $k$ , gives the differential phase of the image wave for

each point on the film plane. The main hologram synthesis equation is:

$$H(x_f, y_f) = \sum \exp[jk(r-r_0) - x \sin(\phi_{\text{sub(ref)}})]$$

5

summed from  $n=1$  to  $N$ . The synthesis equation is used in calculating the contribution of each  $N$  points comprising the object and it is solved at each sample point  $(x_f, y_f, z_f)$  in the film plane. If the film plane is to be represented by an  $M \times M$  array of sample points, then the number of synthesis calculations required is  $N \times M^2$ .

10

The synthesized hologram consists of a two-dimensional array of sample points representing the complex phase and amplitude of the interference pattern between object and reference beams. Conversion of the complex array values to a gray-scale must be done before printing the hologram pattern because only the amplitude information can be represented by the printer.

15

Since the imaginary part of the information is discarded in the conversion, it makes no sense to calculate it in the first place. Therefore, a more efficient and direct synthesis equation is:

20

$$H(x_f, y_f) = \sum \cos[jk[(r-r_0) - x \sin(\phi_{\text{sub(ref)}})]$$

25

where the summation is from  $n=1$  to  $N$ . This synthesis equation can be implemented with a computer, for example, the MathCad program (MathSoft, Cambridge MA).

30

### Example 6

#### *Sampling Requirements*

35

Care must be taken to calculate enough points on the hologram interference pattern (*i.e.*, to sample at a sufficiently high spatial frequency) so that the information is preserved and aliasing does not occur. A form of the Shannon or Nyquist

sampling theorem can be applied to the case at hand. A. V. Oppenheim and R. W. Schafer, Discrete time signal processing (Prentice-Hall, Englewood Cliffs, N.J. 1989), incorporated by reference. However, a more direct and physically appealing method was devised to gain insight into the problem and to assist with the design.

Since the calculated hologram consists of periodic sample points which, upon printout, are separated by a minimum center-to-center spacing of  $\Lambda = 7$  micrometers (due to the resolution of the printer), the reconstructed image will also be repeated periodically in space with an angular repetition (recall Fig. 11) equal to:

$$\theta_m = \sin^{-1}(\lambda x / \Lambda)$$

which is approximately 5 degrees for red light incidence ( $\lambda = 0.6328$  micrometers). This means that the full image fan angle can not exceed 5 degrees without overlapping with the replicated images. Full use of the image plane is possible only when the printer resolution is equal to the incident wavelength, and when the all the complex phase information is retained. The limited printer resolution ultimately limits the extent of the image and specifies the minimum image projection distance from the film for a given image extent.

### Example 7

#### *Conjugate Beam Aberrations*

Another consideration that plays an important role in the hologram design is the unwanted twin or conjugate beam that arises due to the inability to print all the phase information. There are two possible objects giving rise to the same hologram pattern due to the uncertainty of  $\pi$  in recording phase: one is the original object, the other is a virtual object located symmetrically on the other side of the film plane. This concept is illustrated in Fig. 19. Figure 19 illustrates the twin

images arising from the hologram, the virtual image on the left-hand side of Figure 19, and the real image on the right-hand side, with the viewer positioned at the lower right-hand corner.

5           A hologram of a single point consists of symmetric rings on the film plane spaced finer and finer with increased distance from the point center. Figure 11 is a side-view of the bottom half-plane hologram pattern and it portrays the incident beam, the transmitted beam, and two diffraction orders for each  
10 incident ray. The angle of diffraction is set by the local period, just as illustrated in Fig. 11. One set of diffraction orders comprise the real image and converge to a point to the right of the film plane. The other set of orders diverge from the film plane in a pattern that appear to the eye to be coming from a  
15 point located at the virtual image. Figure 20 illustrates conjugate beam haze. The in-focus image is the real image, the large dot is the transmitted beam, and the haze is the virtual image. The projected image on the left is illuminated through hologram center. Image on right is illuminated slightly  
20 off-center to illustrate the symmetric conjugate image placement. This figure demonstrates the effect of the twin beam aberration for an example real image. In viewing the image of the real object, one has to look through an out-of-focus background image of the virtual object, a most  
25 annoying disturbance, and one of the problems that plagued the first holograms made by Dennis Gabor in 1948. D. Gabor, "A new microscopic principle," Nature, vol. 161, pp. 777-778 (1948).

30           In the early 1960's, Leith and Upatnieksi discovered that the twin-beam problem could be alleviated by modulating the signal beam on a carrier to spatially separate the real and virtual image beams. E. N. Leith and J. Upatnieks. "Wavefront reconstruction with diffused illumination and three-dimensional objects." J. Opt. Soc. Am., vol. 53, pp. 1377-1381  
35 (1964), incorporated herein by reference. This so-called

"off-axis hologram" geometry is accomplished either by centering the object and shifting the reference beam angle, or equivalently, by setting the reference beam to zero and shifting the object off axis. Care must be taken to insure that the combined reference beam angle and the image cone angle are within the limited angular range defined by the sampling period.

### Example 8

#### *Fabrication*

The challenge one faces in the fabrication process is to faithfully reproduce the hologram features and scale at a reasonable cost. In this Example, an overview is presented of the fabrication steps. The ability to "print" a hologram with responsive material is an important factor in the fabricating a sensing device according to the present invention. The use of MYLAR® as a hologram base substrate is important to high volume, continuous processing, and cost per unit reduction.

The present invention has been developed to enable the positioning of responsive-material in the form of a hologram pattern onto metallized MYLAR®. The steps include: (1) printout of the computer bit map hologram pattern to high-resolution transparency film, (2) photolithographic transfer of the mask pattern to photoresist, (2) formation of an elastomeric stamp from the photoresist relief pattern, (3) thiol monolayer patterning on MYLAR® using the stamp, and (4) responsive gel assembly on the pattern defined by the thiol monolayer.

### Example 9

#### *Computer bitmap*

The hologram generated by the computer is initially stored in the form of a 256-level gray-scale bitmap pattern. Each pixel in the bitmap, therefore, is represented by one byte of information. The image storage requirements are set by the total number of samples. For example, a 1000 x 1000 dot gray-scale bitmap occupies approximately 1 megabyte of



memory. An example of the type of hologram pattern that is produced from a recognizable object is shown below in Fig. 21. The recognizable object is on the left-hand side, and its associated hologram is on the right-hand side. The figure on the right is what is patterned. It is a gray-scale representation of the pattern that is produced from the hologram synthesis equation using the image points shown in the figure on the left.

Once the hologram bitmap is calculated, it is converted to a tagged image format (.tif) file and imported into a graphics application such as CorelDraw (Ottawa, Ontario Canada), which is capable of converting the image file to a printer file. Nearly all of the prototype holograms produced for our project were formatted for a 3600 dpi resolution Agfa Selectset 5000 film printer (Ridgefield Park, NJ).

Several different synthesized holograms can be placed on the same page to minimize the cost per test. Figure 22 shows a 3600 dots per inch (dpi) test page printout with 20 test holograms on one page. A typical printer file for a multi-test run contains approximately 100 megabytes of information: therefore, a removable "Zip" drive may be utilized to conveniently transfer the file to the service bureau for printout.

The hologram mask is inspected for image quality in both transmission and projection mode before attempting to transfer the pattern to the photoresist-covered substrate. The ability to view the holographic image on the printer-output film saves time in the development process. Images can be evaluated for sampling density, extent limits, and depth before the transfer process is carried out.

**Example 10***Photoresist preparation and patterning*

The next step in the process is to coat a suitable flat, polished substrate with 1-2 micrometers of photoresist, expose to ultraviolet (UV) light, and develop according to the following procedure):

1. Clean substrate with methanol.
2. Rinse with de-ionized (DI) water.
3. Clean with acetone.
4. Rinse with DI water.
5. Clean with trichloroethane (TCE).
6. Rinse with DI water.
7. Blow off excess with nitrogen stream.
8. Spin to remove any excess water.
9. Bake at 100° C for 15 minutes to remove water.
10. Pool hexamethyldisilane on wafer and spin at 5000 revolutions per minute (rpm) for 25 seconds.
11. Pool photoresist (Shipley SC1857) on wafer and spin at 5000 for 25 seconds.
12. Bake at 120° C for 20 minutes. (Don't over bake or photoresist will become brittle).
13. Expose photoresist through mask at approximately 85 mJ/cm<sup>2</sup> at 338 nanometers wavelength.
14. Develop with 1:1 (DI water: Shipley concentrate) for 10 seconds to remove exposed photoresist.

The resulting relief-patterned substrate is denoted the "master" and it is the mold from which the elastomeric stamp is formed. An additional step of "de-scumming" the relief wells with a reactive ion etch may be necessary if the bottom of the wells contain any residual photoresist.

### Example 11

#### *Elastomeric stamp formation*

After the master is fabricated, cleaned, and inspected, it is placed in a vacuum chamber with a small amount of fluorine compound to passivate the surface. Then an elastomer is poured on the master mold and allowed to cure for 16 hours at about 65° C. It is important to coat the surface with the passivation layer first so that the elastomer stamp can peel away from the master when it is set.

### Example 12

#### *Final hologram formation*

The elastomeric stamp is coated with a hydrophilic thiol and the hologram pattern is printed on a metallized MYLAR® sheet (via the thiol) by carefully pressing the stamp against the MYLAR® and by applying uniform pressure. An analyte-specific responsive gel can then be assembled in the regions defined by the thiol pattern by hydrophilic attraction between the gel and the thiol.

### Example 13

#### *Process examples*

There are four transfer steps involved in the hologram production. Each step can be evaluated visually for good pattern replication by microscopy. Figure 23 A-D shows several pattern transfer from the printer output on high-resolution printer film (A), to the photoresist master on gold film (B), to the elastomer stamp (C), to the responsive gel on metallized Mylar® (D). The small line in (C) is 30 microns long. These figures are corner views of the same pattern at each step. In the figures 23 A-D, the pattern transfer from the printer to the stamp would be judged to be "good" but the quality of the final transfer to the MYLAR® is difficult to judge due to the contrast of the pattern and because of the residual drops of gel which tend to obscure the image.

A similar comparison of the hologram's central region, as shown in Fig. 9, indicates a good transfer of the printed pattern to the gel on MYLAR®. The intermediate steps are not shown in this figure.

5

### Example 14

#### *Image Reconstruction*

The holographic image can be viewed in one of two ways depending on the lighting source. The virtual image can be seen behind the film plane when the hologram is placed between the eye and a white light point source. Alternatively, the real image can be projected on a screen, as shown in Figure 24, by shining a laser through the hologram. The reconstructed image perspective is determined by the region of beam incidence in the film plane. The fact that each spot in the film contains a separate perspective view of the entire image is one of the most useful characteristics of the hologram, part of the film may become damaged but the image may be viewed simply by moving to a different location on the film.

Another useful attribute associated with the real image reconstruction is shift invariance. Incident light can be scanned across the film plane with no apparent shift in the image. This characteristic is very useful in fixed-position detector systems where precise positioning of the responsive hologram film is impractical.

25

Figure 7, at the left hand side, is an illustration of a printed hologram, and the corresponding smiling face image it produces. The right hand side of Figure 7 are two enlarged views, side plan and top plan, of the circles of self-assembling monolayers which all together make up the printed hologram.

30

**Example 15**

*Hologram synthesis algorithm using the MathCad program*

The MathCad Program synthesizes the interference pattern required for reconstruction of a 3 dimensional cube made of finite point sources and located behind the film plane. The dimension z is defined in the synthesis equation for each point so that depth can be given to the image.

$$\begin{aligned} 10 \quad \text{TOL} &= 10^{-9} \text{ mm} = 1 \times 10^{-3} \text{ micrometers} = 1 \times 10^{-6} \text{ cm} \\ &= 1 \times 10^{-2} \text{ k} = 2\pi/\lambda \end{aligned}$$

$$\lambda = 0.6328 \text{ micrometers}$$

Fmin = 7.056 micrometers Minimum printable feature size, i.e. minimum sample period.

15        NS = 1000 Number of samples in one dimension making up the hologram

$$\text{EF} = \text{FminNS}$$

EF = 7.056 mm Maximum extent of hologram window.

20        Z = 6 cm Real image projection (in focus) distance from the film

$$\text{EI} = \text{Ztan}[\arcsin(\lambda / \text{Fmin})]$$

25        EI = 5.403 mm Extent of the projected real image without aliasing

xoff = 0 Offset of the real image from center requires a modulation.

Theta(R) = arctan(xoff/Z) Required reference beam angle (from film plane normal)

30

$$\text{Ef} = 7.056 \text{ mm}$$

$$\text{Ei} = 5.403 \text{ mm}$$

$$z = 60 \text{ mm}$$

$$\text{Theta(ref)} = \arctan(\sigma(\tan(\text{Theta(R)})))$$

35        N = 44 Number of points in the image

### Object Point Definitions Defining a Cube

[illegible]

5  $y_0 = (0 \ 0 \ 0 \ 0 \ 0 \ 2.5 \ 5 \ 7.5 \ 10 \ 10 \ 10 \ 10 \ 10 \ 7.5 \ 5 \ 25 \ 0 \ 0 \ 0 \ 0 \ 2.5$   
 $5 \ 7.5 \ 10 \ 10 \ 10 \ 10 \ 0 \ 0 \ 0 \ 0 \ 2.5 \ 5 \ 7.5)$

$$\mathbf{z}_0 = (0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 2.5 \ 5 \ 7.5 \ 10 \ 10 \ 10 \ 10 \ 10 \ 7.5 \ 5 \ 2.5 \ 2.5 \ 5 \ 7.5 \ 10 \ 10 \ 10 \ 10 \ 1)$$
$$10 \quad x_0 = x_0^T(E_i/20) \quad y_0 = y_0^T(E_i/20) \quad z_0 = z_0^T(E_i/20) + z$$

### Film point definitions

$$\Delta x = E_f / NS \quad \Delta y = \Delta x \quad \Delta x = 7.056 \text{ micrometers}$$

15 sampling period

$$i=0..NS-1 \quad j=0..NS-1 \quad x_j=i\Delta x - E_f/2$$
$$y_j = j\Delta y - E_f/2$$

### Interference pattern calculation

$$20 \quad H_{i,j} = \sum \cos \{ k [ [(x_i - x_{0n})^2 + (y_j - y_{0n})^2 + (z - z_{0n})^2]^{1/2} - [(x_{0n})^2 + (y_{0n})^2 + (z_{0n})^2]^{1/2} - (x_i) \sin(\theta_{ref})] \}$$

Those skilled in the art will now see that certain modifications can be made to the invention herein disclosed with respect to the illustrated embodiments, without departing from the spirit of the instant invention. And while the invention has been described above with respect to the preferred embodiments, it will be understood that the invention is adapted to numerous rearrangements, modifications, and alterations, all such arrangements, modifications, and alterations are intended to be within the scope of the appended claims. All publications cited herein are incorporated herein by reference.

### Claims

What is claimed is:

- 5           1.    A sensing device comprising:
  - (a)   a self-assembling monolayer printed on a substrate; and
  - (b)   a gel associated with the self-assembling monolayer, the gel being capable of responding to a stimulus.
- 10           2.    The device of claim 1 wherein the sensing device can form a hologram when electromagnetic radiation is transmitted through the device.
- 15           3.    The device of claim 1 wherein the substrate is selected from the group consisting of glass, silicon dioxide, aluminum oxide, and metallized polymer films.
- 20           4.    The device of claim 1 wherein the gel is formed from N-isopropylacrylamide, or a derivative thereof.

5           5.     The device of claim 1, wherein the gel is  
agarose, dextran, carageenan, alginic acid, starch, cellulose,  
deionized gelatin, polyvinyl alcohol, polyacrylic acid,  
polyacrylamide, or polyethylene glycol, N-vinyl  
pyrrolidone, glycidyl methacrylate, hydroxyalkyl acrylates,  
hydroxyalkyl methacrylates, hydroxyethyl acrylate,  
hydroxypropyl acrylate, hydroxybutyl methacrylate; epoxy  
acrylates, epoxy methacrylates, glycidyl methacrylate;  
amino alkyl acrylates, amino alkyl methacrylates, N-vinyl  
pyrrolidone, N-vinyl carbazole, N-vinyl acetamide, N-vinyl  
succinimide, amino styrenes, polyvinyl alcohols, polyvinyl  
amines, N-isopropyl acrylamide, vinyl pyridine; vinyl  
sulfonate polyvinyl sulfate; vinylene carbonate; vinyl acetic  
acid, vinyl crotonic acid; allyl amine, allyl alcohol, or vinyl  
glycidyl ethers.

          6.     The device of claim 1, wherein the gel is an  
organogel.

20           7.     The device of claim 6, wherein the organogel  
is aluminum stearate, oleate, naphthenate, or  
electroconductive gels, such as alkyl thiophenes.

25           8.     The device of claim 1, wherein the gel is  
derivatized to contain hydroxyl, carboxyl, amino, aldehyde,  
carbonyl, epoxy, crown, or vinyl groups.

30           9.     The device of claim 1, wherein the gel contains  
chromophores, metal salts, ions, antibodies, T or B cell  
receptors, fragments, or epitopes thereof, proteins,  
peptides, neurotransmitters, hormones, growth factors,  
cytokines, monokines, lymphokines, nutrients, enzymes,  
receptors, macromolecular structures, organelles, cells, or  
microorganisms.

35

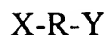


10. The device of claim 1, wherein the gel contains compounds selected from the group consisting essentially of metallo-phthalocyanines, surfactants, NaBr, KBr, NaCl, KCl, NaI, and KI, methanol and glycerol, tetra-alkylammonium bromides, crown ethers, benzo[18]crown-6, and azobenzene chromophores.

11. The device of Claim 1, wherein there are two or more self-assembling monolayers with different physical or chemical properties.

12. The device of Claim 1, wherein a first self-assembling monolayer is hydrophobic, and a second self-assembling monolayer is hydrophilic.

13. The device of Claim 1, wherein the self-assembling monolayer is formed from compounds with the following general formula:



wherein:

X is reactive with the metal or metal oxide on the polymer film;

R is a hydrocarbon chain; and

Y is a compound with any property of interest.

14. The device of Claim 13, wherein:

X is a asymmetrical or symmetrical disulfide (-R'SSR, -RSSR), sulfide (-R'SR, -RSR), diselenide (-R'Se-SeR), selenide (R'SeR, -RSeR), thiol (-SH), nitrile (-CN),  
5 isonitrile, nitro (-NO<sub>2</sub>), selenol (-SeH), trivalent phosphorous compounds, isothiocyanate, xanthate, thiocarbamate, phosphine, thioacid or dithioacid, carboxylic acids, hydroxylic acids, and hydroxamic acids;

10 R and R' are hydrocarbon chains which may optionally be interrupted by hetero atoms, and which may optionally be perfluorinated, and which are preferably non-branched; and

15 Y is optionally hydroxy, carboxyl, amino, aldehyde, hydrazide, carbonyl, epoxy, or vinyl groups.

15. The device of Claim 13, wherein R is greater than 7 carbon atoms in length.

20 16. The device of Claim 13, wherein R is a compound of the form (CH<sub>2</sub>)<sub>a</sub>-Z-(CH<sub>2</sub>)<sub>b</sub>, wherein a≥0, b≥7, and Z is any chemical functionality of interest.

25 17. The device of Claim 16, wherein Z is selected from the group consisting of sulfones, lactams, and urea.

18. The device of claim 3 wherein the substrate is a metallized polymer film, the polymer film comprising polyethylene-terephthalate, acrylonitrile-butadiene-styrene, acrylonitrile-methyl acrylate copolymer, cellophane, 5 cellulose polymers such as ethyl cellulose, cellulose acetate, cellulose acetate butyrate, cellulose propionate, cellulose triacetate, cellulose triacetate, polyethylene, polyethylene - vinyl acetate copolymers, ionomers (ethylene polymers) polyethylene-nylon copolymers, polypropylene, methyl 10 pentene polymers, polyvinyl fluoride, or aromatic polysulfones.

19. The device of Claim 3, wherein the metallized polymer film is optically transparent.

20. The device of Claim 3, wherein the metallized polymer film is metallized with metals comprising gold, silver, nickel, platinum, aluminum, iron, copper, zirconium, or alloys thereof.

21. The device of Claim 1, wherein the stimulus comprises temperature, solvent composition, mechanical strain, electric field, pH, salt concentration, pH, solvent quality, light intensity, light wavelength, pressure, ionic strength, ion identity, or specific chemical triggers.

22. The device of claim 1, wherein the hologram changes to a second hologram upon exposure to the stimulus.

23. A method of making a sensing device comprising stamping a pattern of self-assembling monolayers onto a substrate, and coating the pattern of self-assembling monolayers with one or more gels, the gels 35 being capable of responding to a stimulus.

24. The method of claim 23, wherein the device can form a hologram when electromagnetic radiation is transmitted through the method.

5

25. The method of claim 23, wherein the substrate is selected from the group consisting of glass, silicon dioxide, aluminum oxide, and metallized polymer films.

10

26. The method of claim 23, wherein the gel is formed from N-isopropylacrylamide, or a derivative thereof.

15

27. The method of claim 23, wherein the gel is agarose, dextran, carageenan, alginic acid, starch, cellulose, deionized gelatin, polyvinyl alcohol, polyacrylic acid, polyacrylamide, or polyethylene glycol, N-vinyl pyrrolidone, glycidyl methacrylate, hydroxyalkyl acrylates, hydroxyalkyl methacrylates, hydroxyethyl acrylate, hydroxypropyl acrylate, hydroxybutyl methacrylate; epoxy acrylates, epoxy methacrylates, glycidyl methacrylate; amino alkyl acrylates, amino alkyl methacrylates, N-vinyl pyrrolidone, N-vinyl carbazole, N-vinyl acetamide, N-vinyl succinimide, amino styrenes, polyvinyl alcohols, polyvinyl amines, N-isopropyl acrylamide, vinyl pyridine; vinyl sulfonate polyvinyl sulfate; vinylene carbonate; vinyl acetic acid, vinyl crotonic acid; allyl amine, allyl alcohol, or vinyl glycidyl ethers.

20

25

30

28. The method of claim 23, wherein the gel is an organogel.

35

29. The method of claim 28, wherein the organogel is aluminum stearate, oleate, naphthenate, or electroconductive gels, such as alkyl thiophenes.

30. The method of claim 23, wherein the gel is derivatized to contain hydroxyl, carboxyl, amino, aldehyde, carbonyl, epoxy, crown, or vinyl groups.

5

31. The method of claim 30, wherein the gel contains chromophores, metal salts, ions, antibodies, T or B cell receptors, fragments, or epitopes thereof, proteins, peptides, neurotransmitters, hormones, growth factors, cytokines, monokines, lymphokines, nutrients, enzymes, receptors, macromolecular structures, organelles, cells, or microorganisms.

10

32. The method of claim 23, wherein the gel contains compounds selected from the group consisting essentially of metallo-phthalocyanines, surfactants, NaBr, KBr, NaCl, KCl, NaI, and KI, methanol and glycerol, tetra-alkylammonium bromides, crown ethers, benzo[18]crown-6, azobenzene chromophores.

15

20

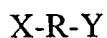
33. The method of Claim 23, wherein there are two or more self-assembling monolayers with different physical or chemical properties.

25

34. The method of Claim 23, wherein a first self-assembling monolayer is hydrophobic, and a second self-assembling monolayer is hydrophilic.

35. The method of Claim 23, wherein the self-assembling monolayer is formed from compounds with the following general formula:

5



wherein:

X is reactive with the metal or metal oxide on the polymer film;

10

R is a hydrocarbon chain; and

Y is a compound with any property of interest.

36. The method of Claim 35, wherein:

15

X is a asymmetrical or symmetrical disulfide (-R'SSR, -RSSR), sulfide (-R'SR, -RSR), diselenide (-R'SeSeR), selenide (R'SeR, -RSeR), thiol (-SH), nitrile (-CN), isonitrile, nitro

20

(-NO<sub>2</sub>), selenol (-SeH), trivalent phosphorous compounds, isothiocyanate, xanthate, thiocarbamate, phosphine, thioacid or dithioacid, carboxylic acids, hydroxylic acids, and hydroxamic acids;

25

R and R' are hydrocarbon chains which may optionally be interrupted by hetero atoms, and which may optionally be perfluorinated, and which are preferably non-branched; and

Y is optionally hydroxy, carboxyl, amino, aldehyde, hydrazide, carbonyl, epoxy, or vinyl groups.

30

37. The method of Claim 35, wherein R is greater than 7 carbon atoms in length.

35

38. The method of Claim 35, wherein R is a compound of the form (CH<sub>2</sub>)<sub>a</sub>-Z-(CH<sub>2</sub>)<sub>b</sub>, wherein a ≥ 0, b ≥ 7, and Z is any chemical functionality of interest.

39. The method of Claim 38, wherein Z is selected from the group consisting of sulfones, lactams, and urea.

5           40. The method of claim 23 wherein the substrate is a metallized polymer film, the polymer film comprising polyethylene-terephthalate, acrylonitrile-butadiene-styrene, acrylonitrile-methyl acrylate copolymer, cellophane, cellulosic polymers such as ethyl cellulose, cellulose acetate, cellulose acetate butyrate, cellulose propionate, cellulose triacetate, cellulose triacetate, polyethylene, polyethylene -  
10           vinyl acetate copolymers, ionomers (ethylene polymers) polyethylene-nylon copolymers, polypropylene, methyl pentene polymers, polyvinyl fluoride, or aromatic polysulfones.

15           41. The method of Claim 23, wherein the metallized polymer film is optically transparent.

20           42. The method of Claim 23, wherein the metallized polymer film is metallized with metals comprising gold, silver, nickel, platinum, aluminum, iron, copper, zirconium, or alloys thereof.

25           43. The method of Claim 23, wherein the stimulus comprises temperature, solvent composition, mechanical strain, electric field, pH, salt concentration, pH, solvent quality, light intensity, light wavelength, pressure, ionic strength, ion identity, or specific chemical triggers.

30           44. The method of claim 23, wherein, wherein the hologram changes to a second hologram upon exposure to the stimulus.

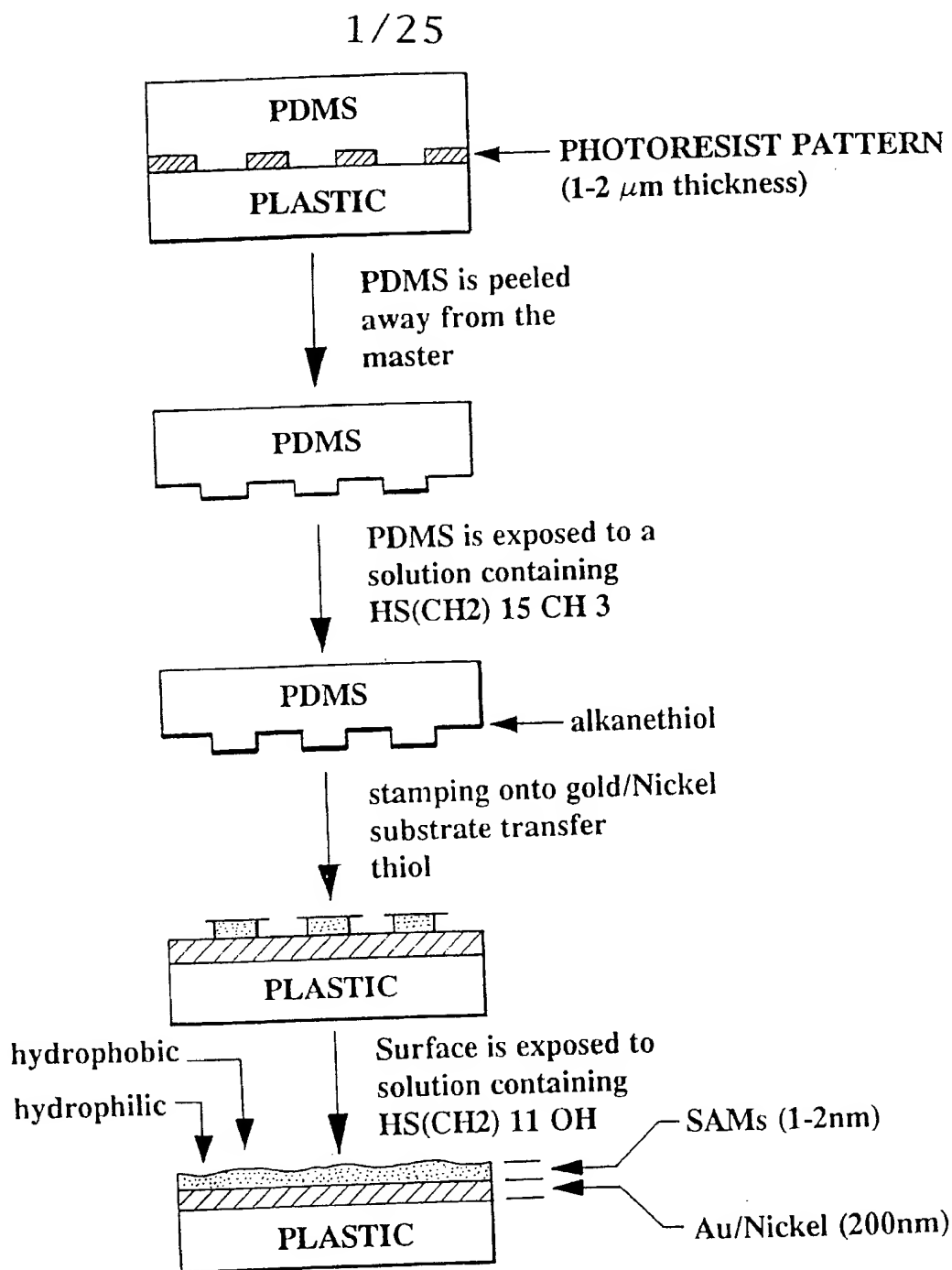


FIGURE 1



2/25

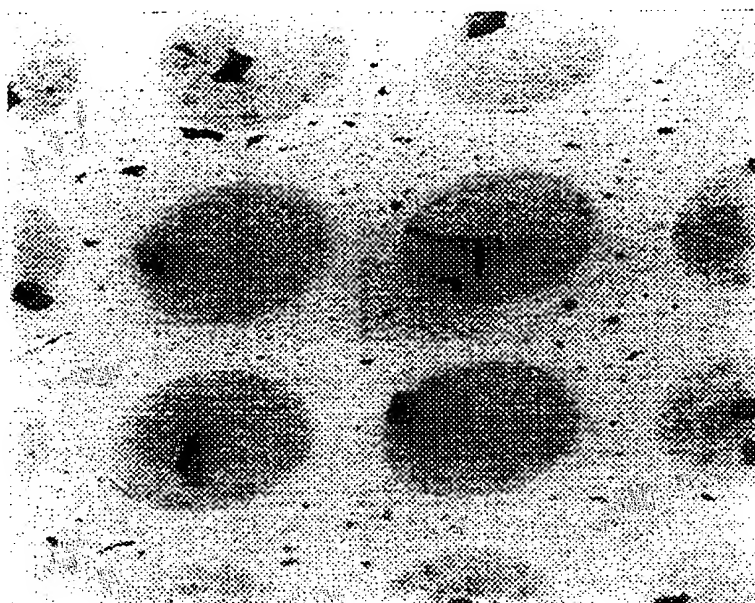


FIGURE 2

3/25

FIGURE 3A

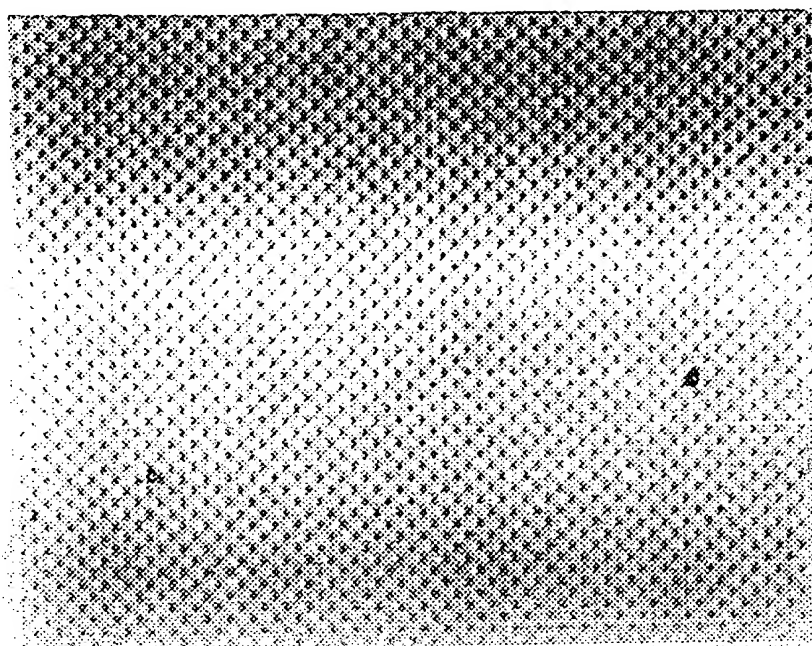
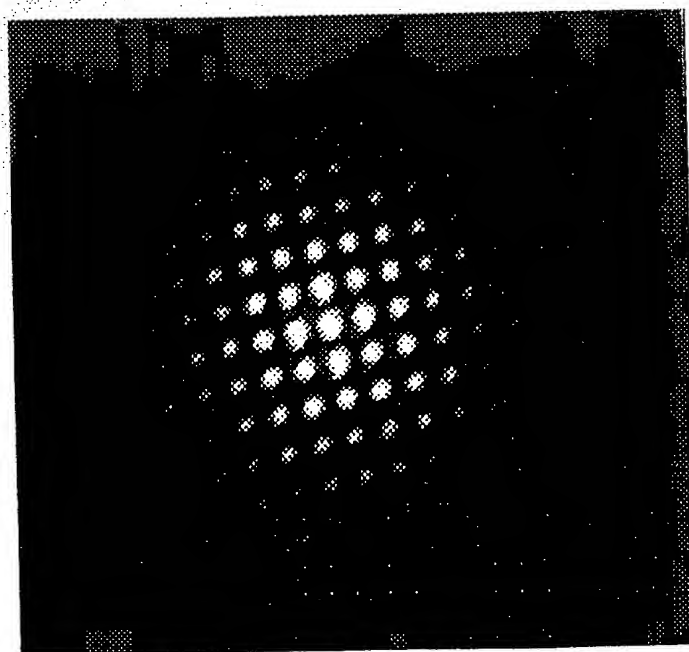


FIGURE 3B



SUBSTITUTE SHEET (RULE 26)

4/25

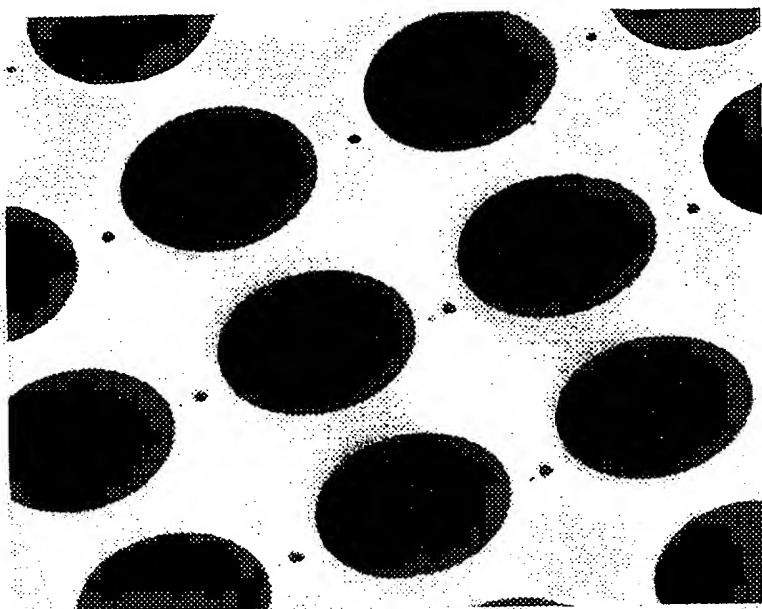
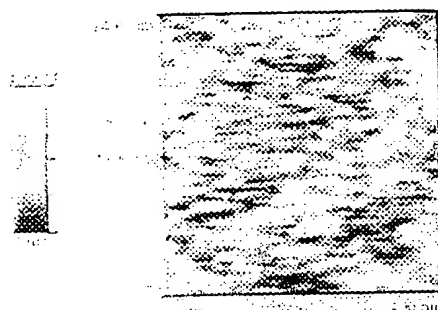


FIGURE 4

5/25

# Hydrophilic SAM Circle

Topography Image



Lateral Force Image

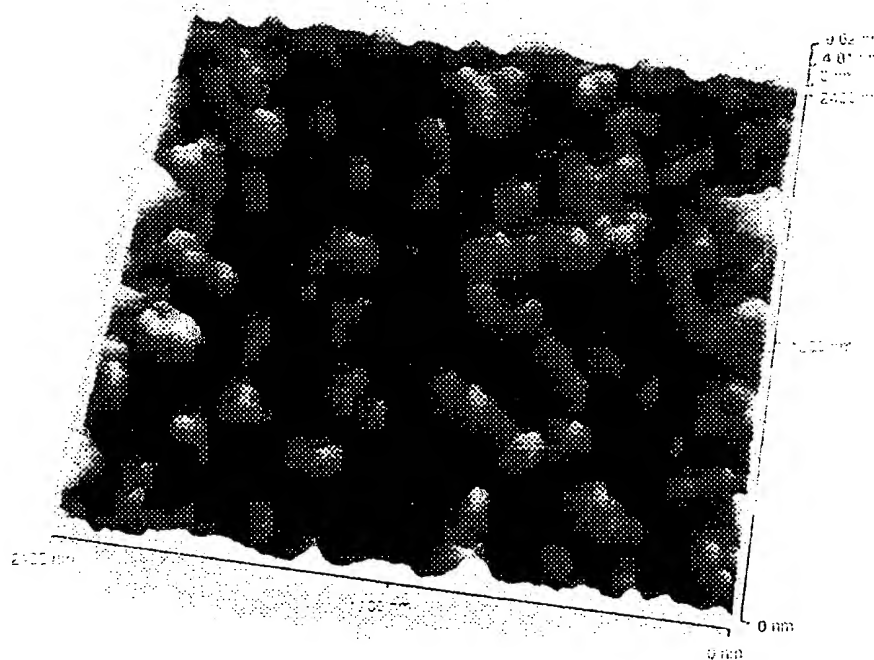
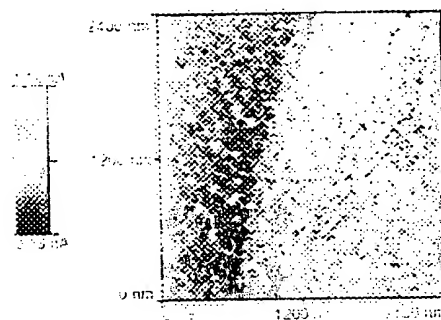


FIGURE 5

6/25

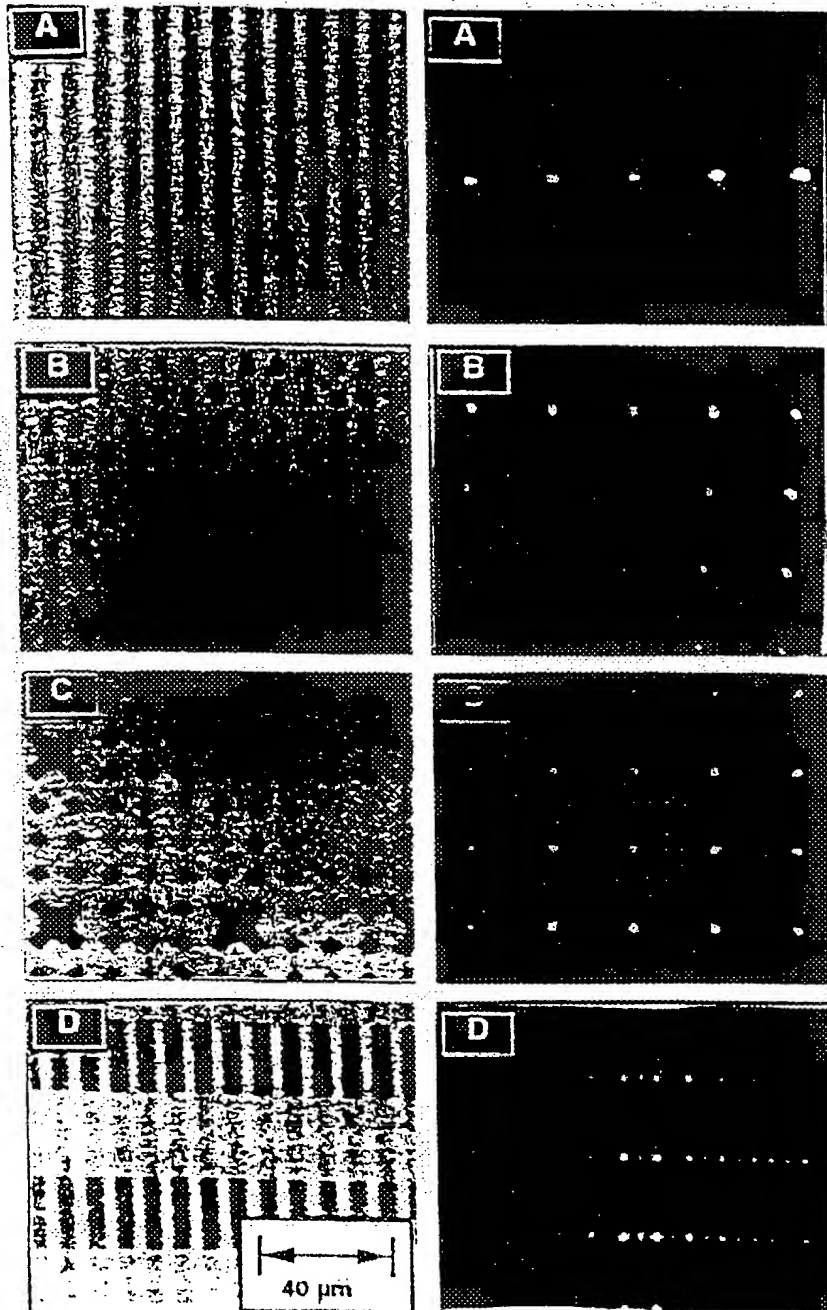
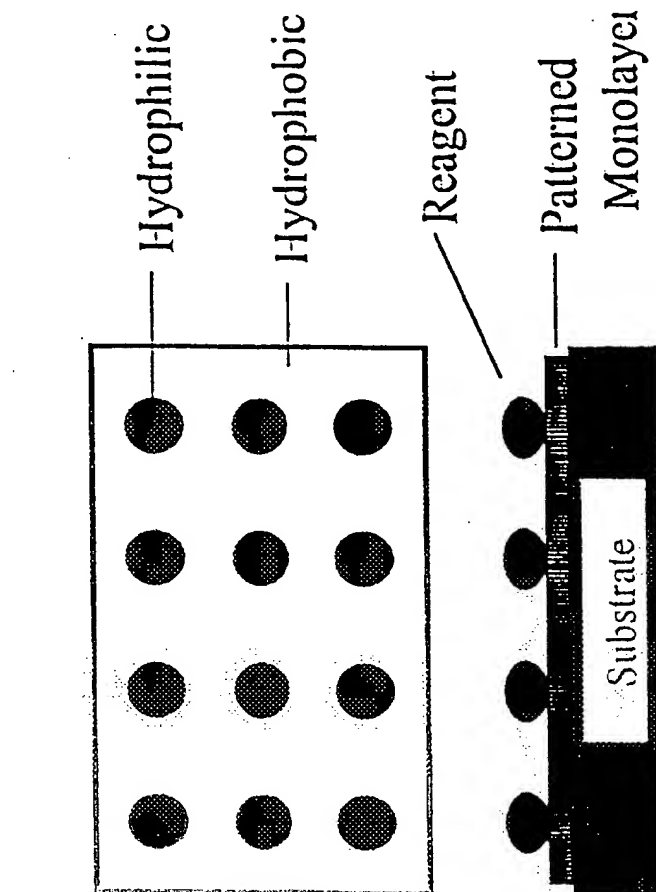
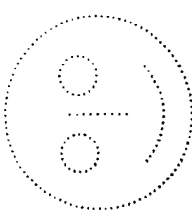


FIGURE 6



Transmission Image



Printed Hologram

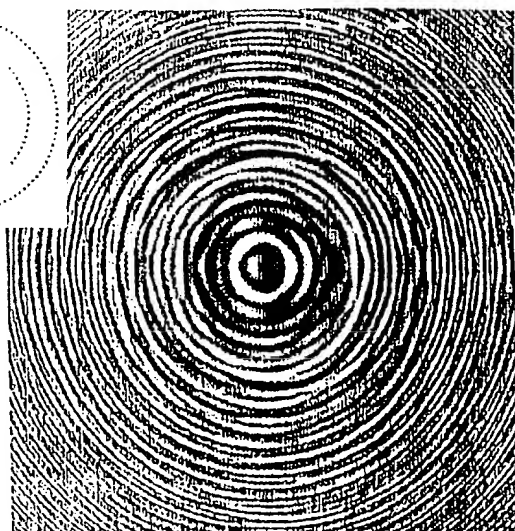
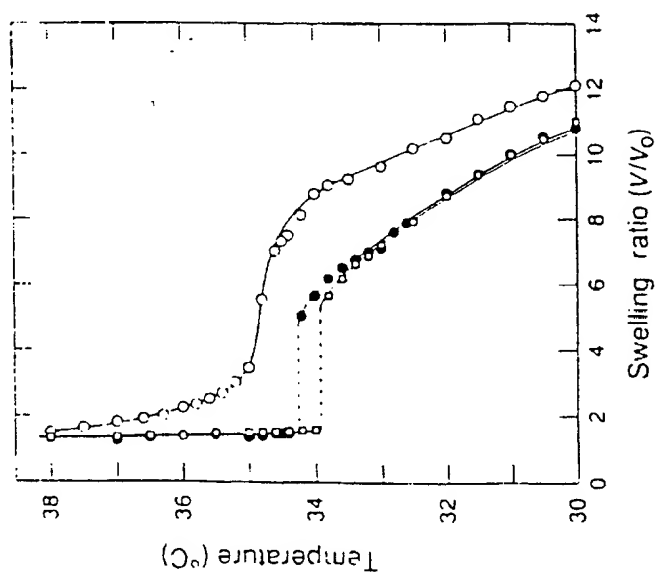


FIGURE 7

8/25

# Temp Induced Transition



# Gel Phase Transition

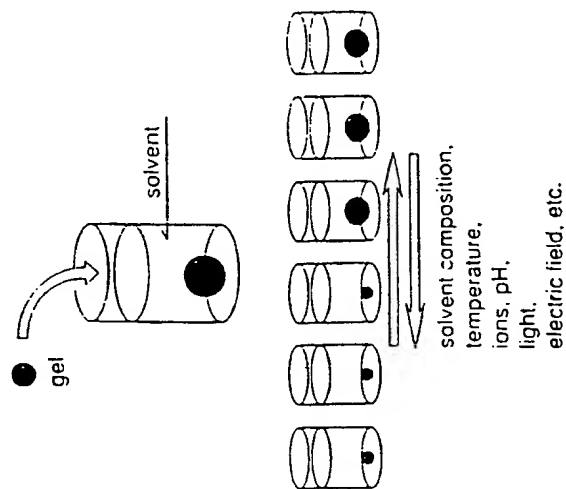
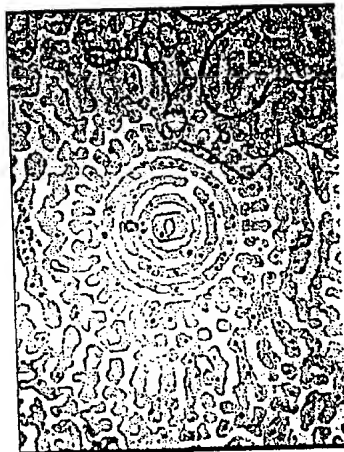


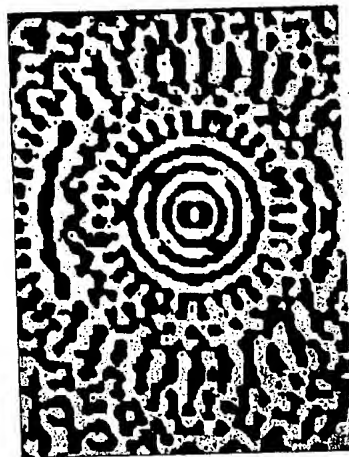
FIGURE 8

9/25



Gel on metallized MYLAR

FIGURE 9B



High resolution printer film output

FIGURE 9A



10/25

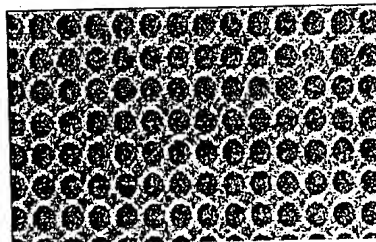


FIGURE 10

11/25

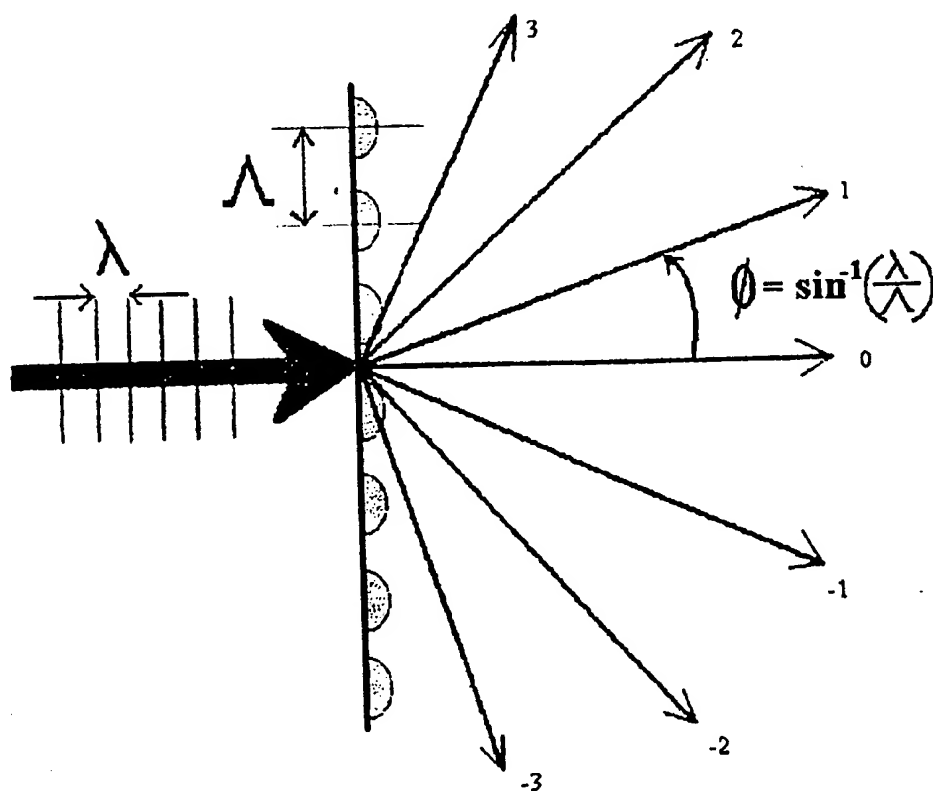


FIGURE 11

12/25

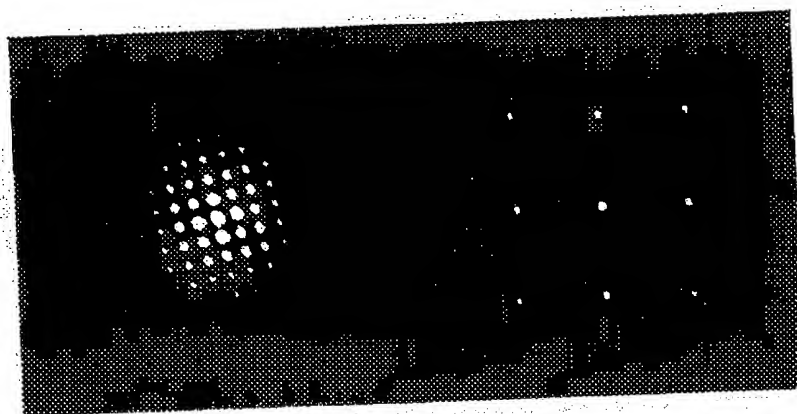


FIGURE 12

13/25

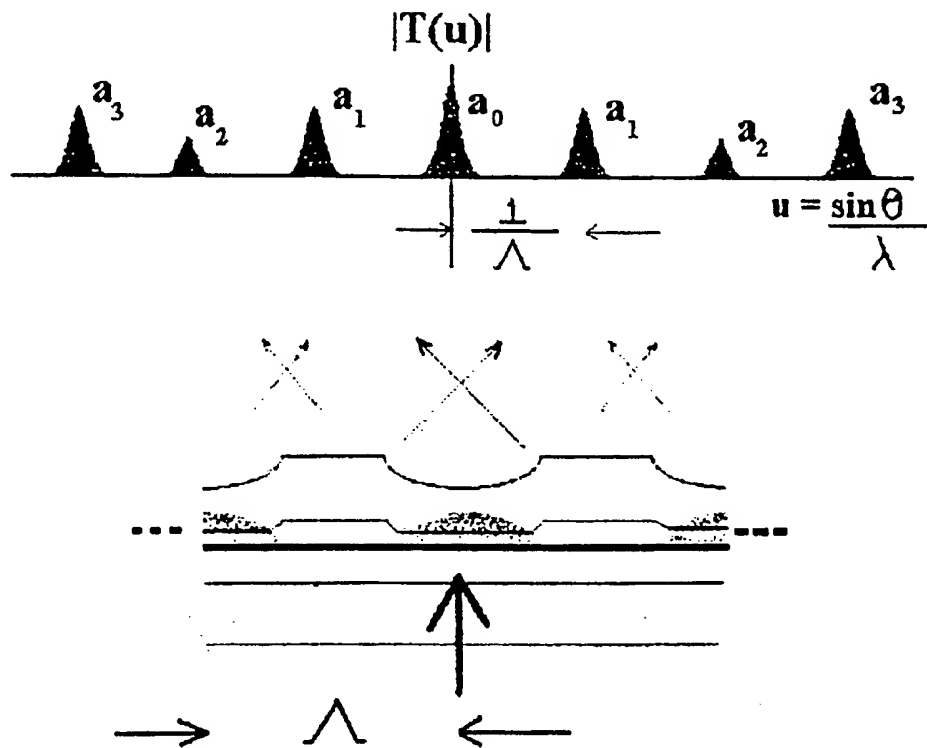


FIGURE 13

14/25

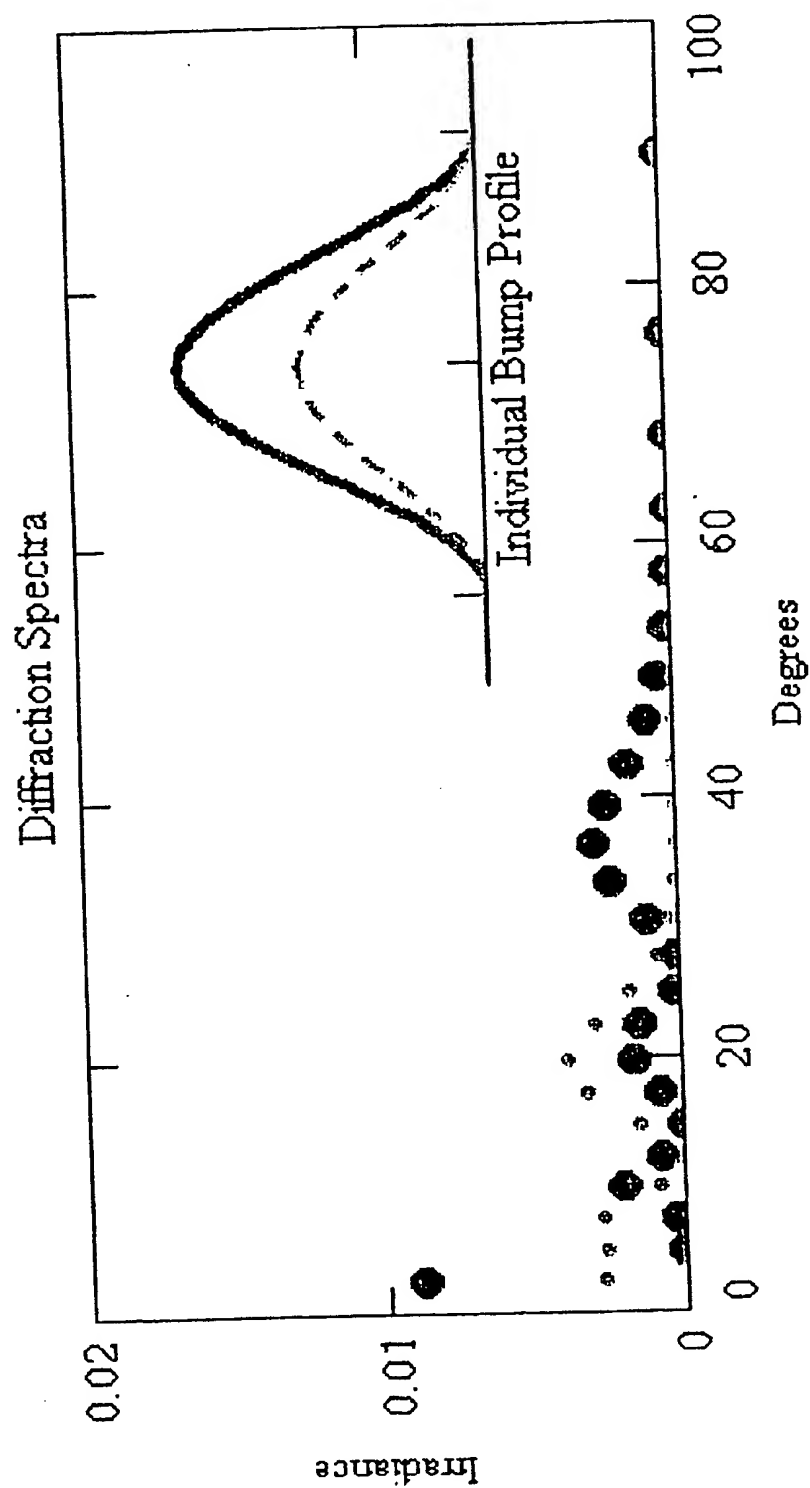


FIGURE 14

15/25

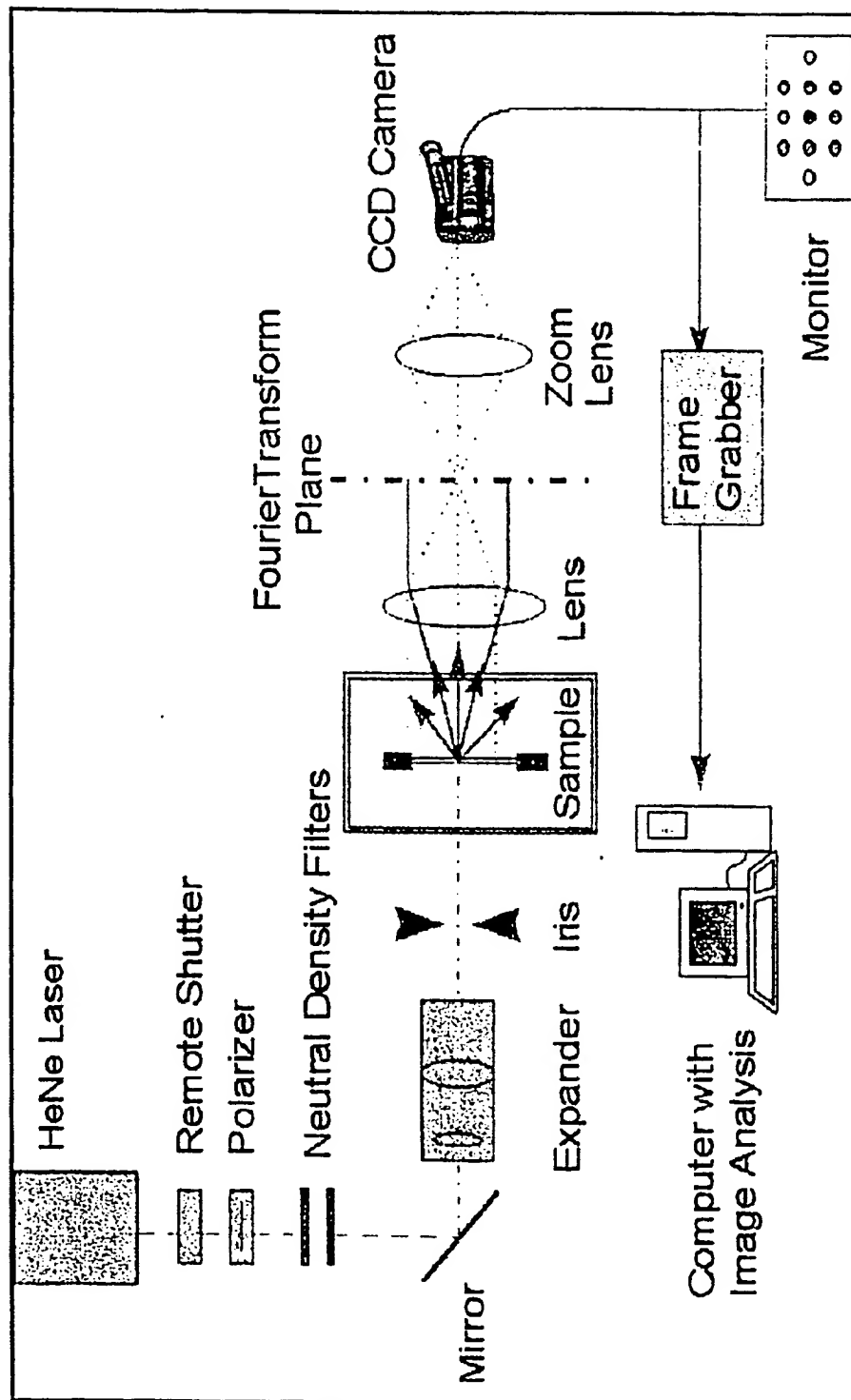


FIGURE 15

SUBSTITUTE SHEET (RULE 26)

16/25

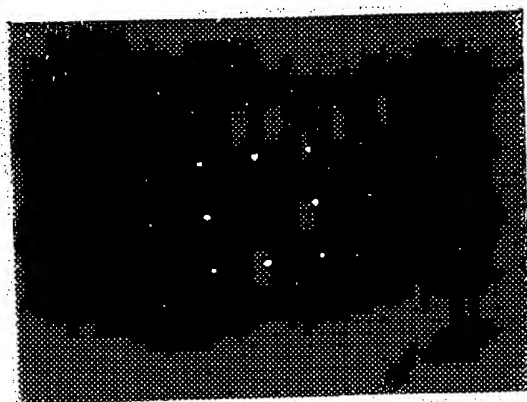


FIGURE 16

17/25

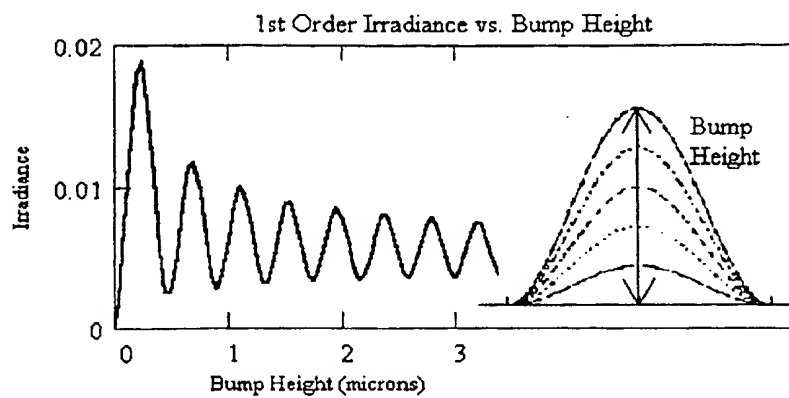


FIGURE 17



18/25

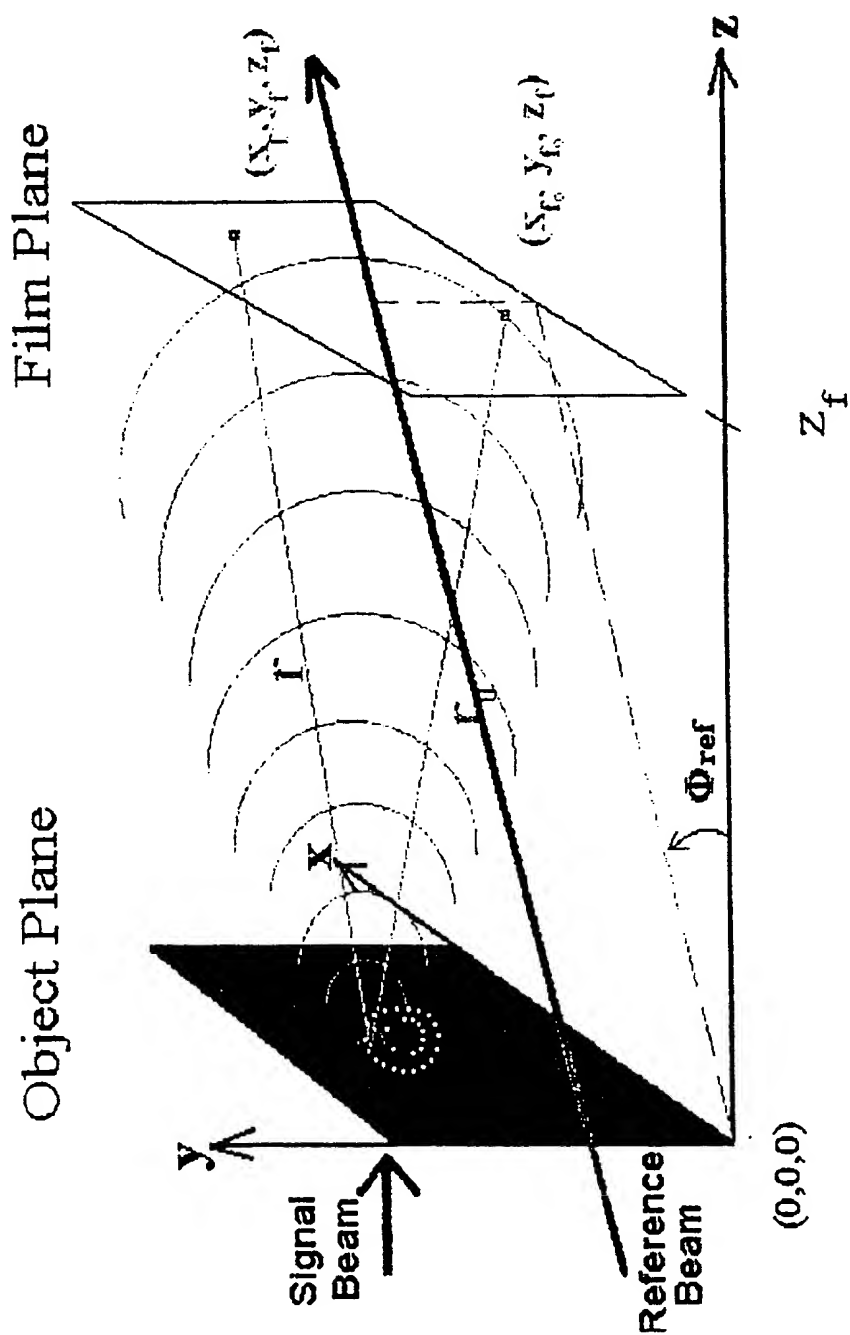


FIGURE 18



20/25

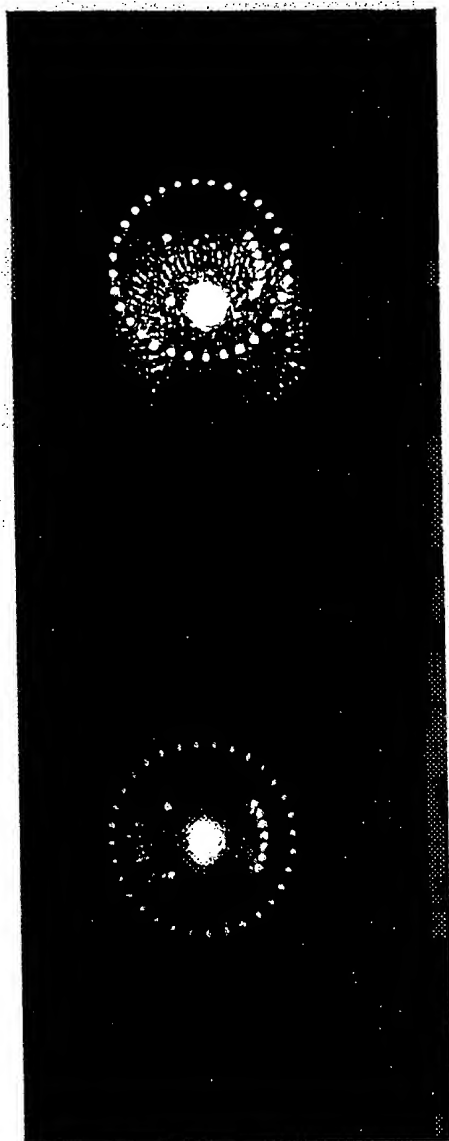


FIGURE 20

21/25

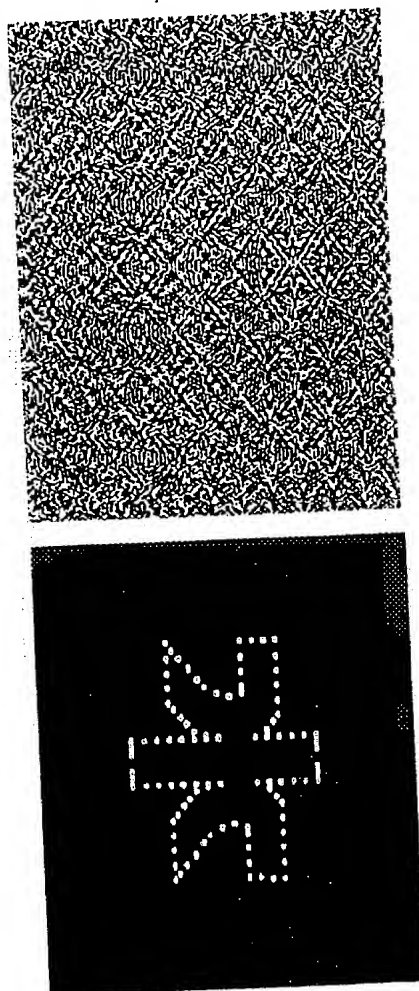


FIGURE 21

22/25

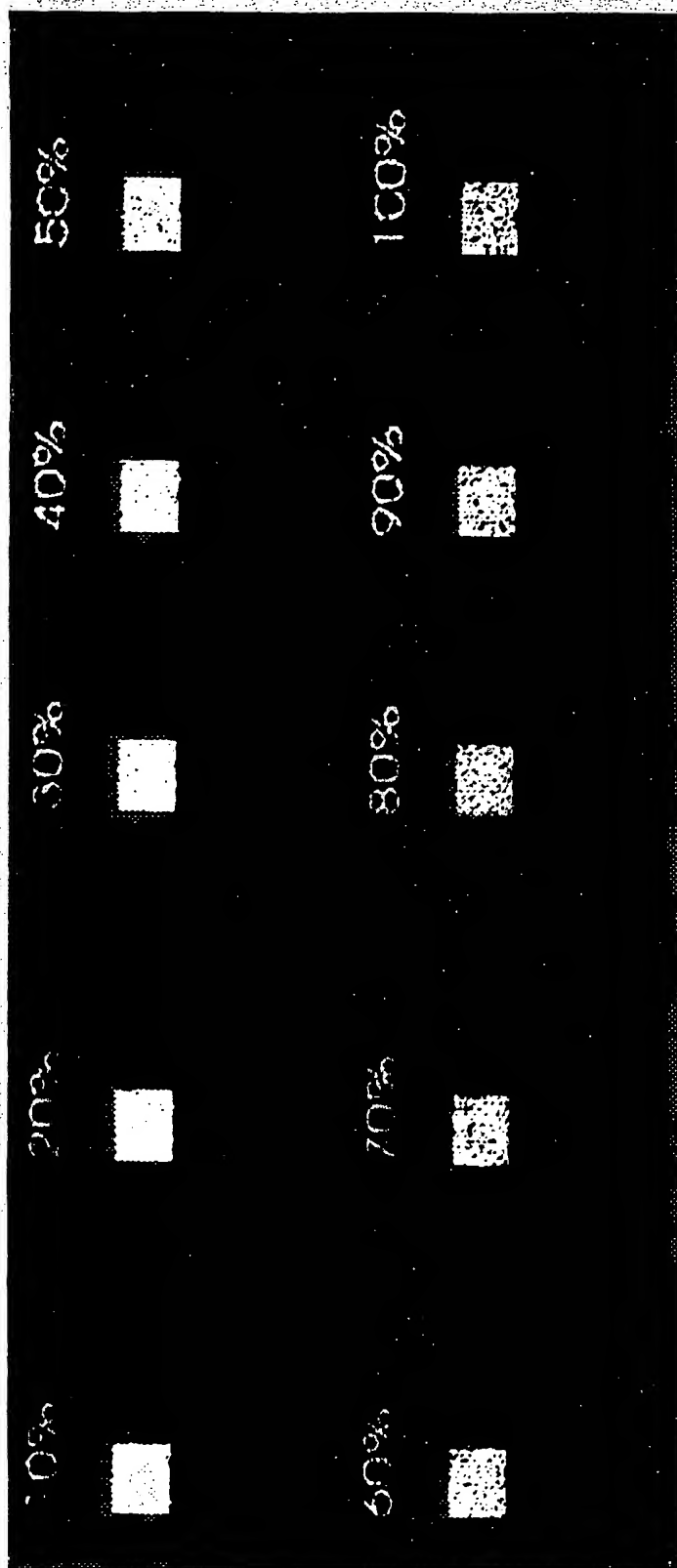


Figure 22A

23/25

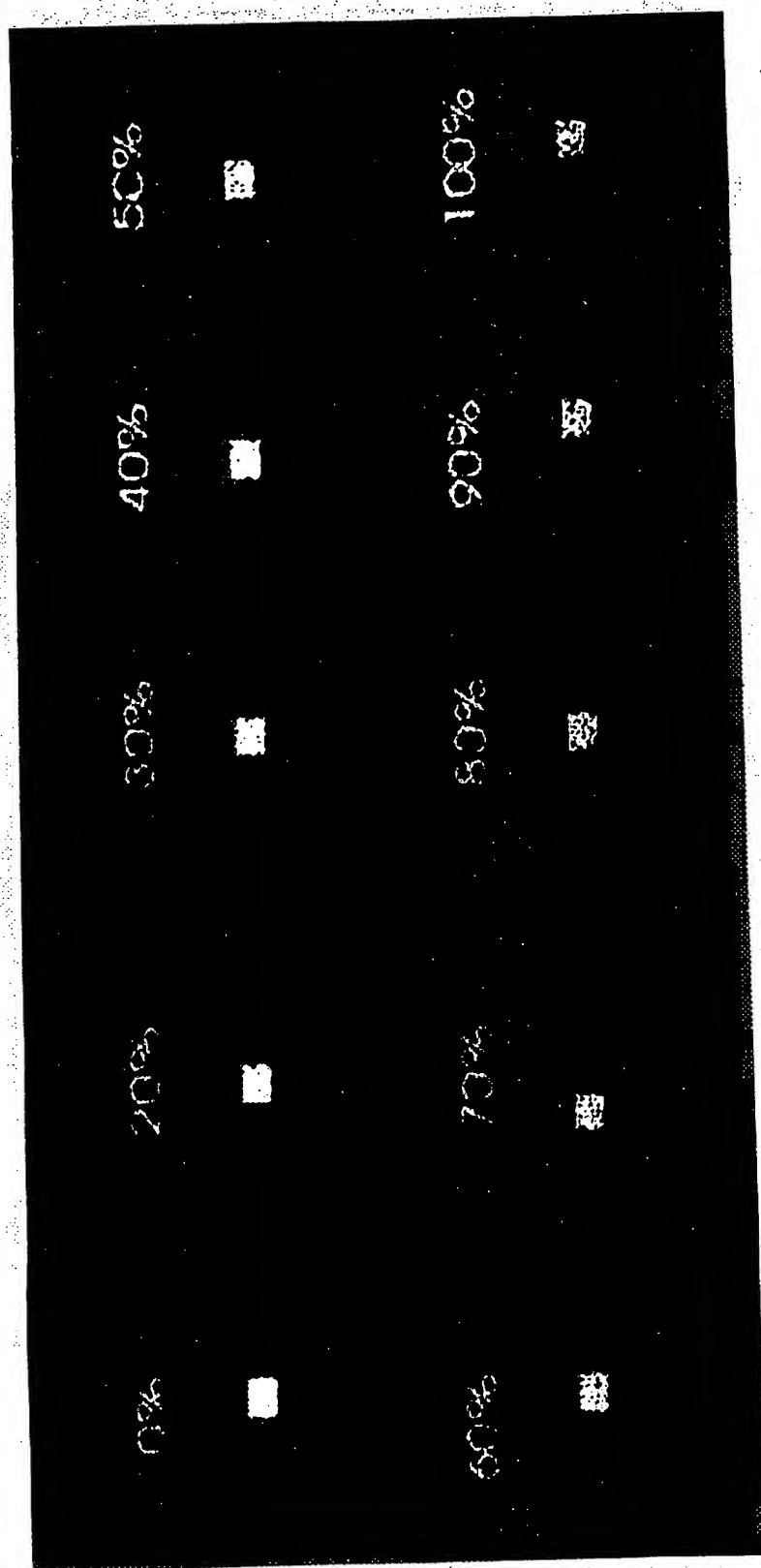
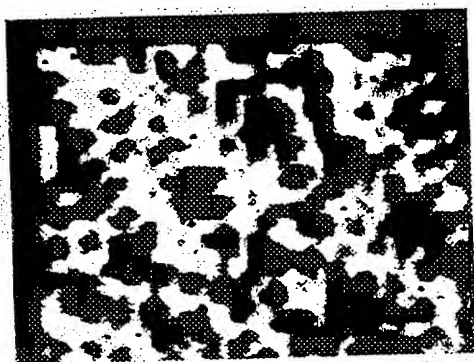


Figure 22B

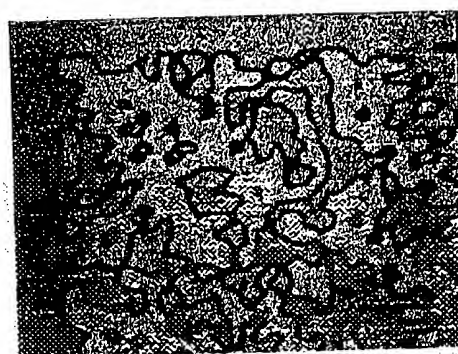
24/25

FIGURE 23A

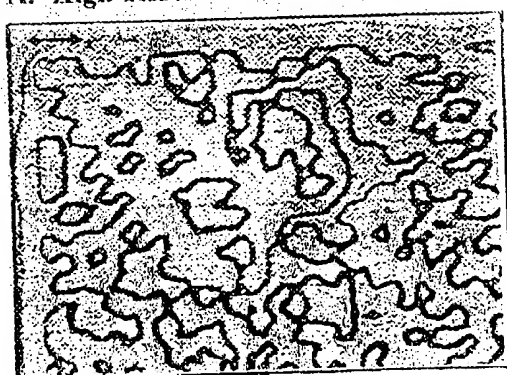


A. High-Resolution Printer film

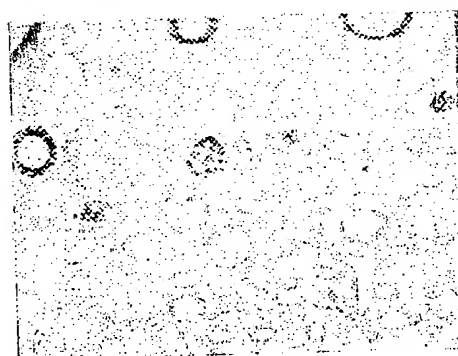
FIGURE 23B



B. Photoresist master on gold film



C. Elastomeric Stamp



D. Gel on metallized MYLAR

FIGURE 23C

FIGURE 23D

25/25

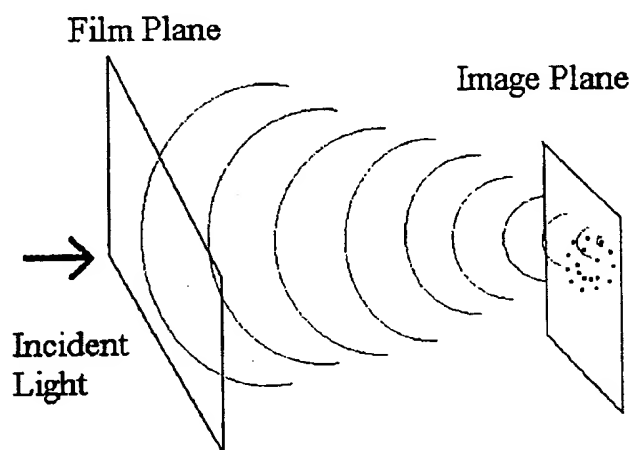


FIGURE 24



# INTERNATIONAL SEARCH REPORT

In International Application No

PCT/US 98/05400

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G01N33/543 G03F7/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G01N G03F B41M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	WO 98 10334 A (KIMBERLY CLARK CO) 12 March 1998 see the whole document see page 3, paragraph 1 see page 4, line 3 ---	1-44
X	EP 0 596 421 A (HOFFMANN LA ROCHE) 11 May 1994 see claims see column 3, line 18 - column 4, line 21 see column 8, line 35 - column 9, line 19 see column 12, line 22 - column 13, line 37 ---	1-44
Y	---	1-44
	--- -/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

### \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

20 July 1998

Date of mailing of the international search report

30/07/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Routledge, B

# INTERNATIONAL SEARCH REPORT

Int .tional Application No

PCT/US 98/05400

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 514 501 A (TARLOV MICHAEL J) 7 May 1996 see claims 1,8,12-15 see column 3, line 11 - line 21 see column 4, line 17 - line 67 see column 5, line 44 - line 60 ---	1-44
X	US 5 436 161 A (BERGSTROEM JAN ET AL) 25 July 1995 see claims see column 4, line 20 - column 6, line 19 ---	1-44
Y	US 5 242 828 A (BERGSTROEM JAN ET AL) 7 September 1993 see claims see column 4, line 14 - column 5, line 2 see column 5, line 54 - column 6, line 35 ---	1-44
Y	PATENT ABSTRACTS OF JAPAN vol. 017, no. 499 (C-1109), 9 September 1993 & JP 05 132640 A (RICOH CO LTD), 28 May 1993, see abstract ---	1-10, 23-32
Y	PATENT ABSTRACTS OF JAPAN vol. 014, no. 286 (P-1064), 20 June 1990 & JP 02 085755 A (TEIJIN LTD), 27 March 1990, see abstract -----	1-10, 23-32

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/05400

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9810334 A	12-03-1998	AU 4181397 A	26-03-1998
EP 0596421 A	11-05-1994	CA 2108705 A JP 6265553 A	07-05-1994 22-09-1994
US 5514501 A	07-05-1996	NONE	
US 5436161 A	25-07-1995	SE 462454 B AT 136651 T DE 68926255 D DE 68926255 T EP 0589867 A JP 4501605 T SE 8804073 A WO 9005303 A US 5242828 A	25-06-1990 15-04-1996 15-05-1996 31-10-1996 06-04-1994 19-03-1992 10-11-1988 17-05-1990 07-09-1993
US 5242828 A	07-09-1993	SE 462454 B AT 136651 T DE 68926255 D DE 68926255 T EP 0589867 A JP 4501605 T SE 8804073 A WO 9005303 A US 5436161 A	25-06-1990 15-04-1996 15-05-1996 31-10-1996 06-04-1994 19-03-1992 10-11-1988 17-05-1990 25-07-1995